

FIG. 1

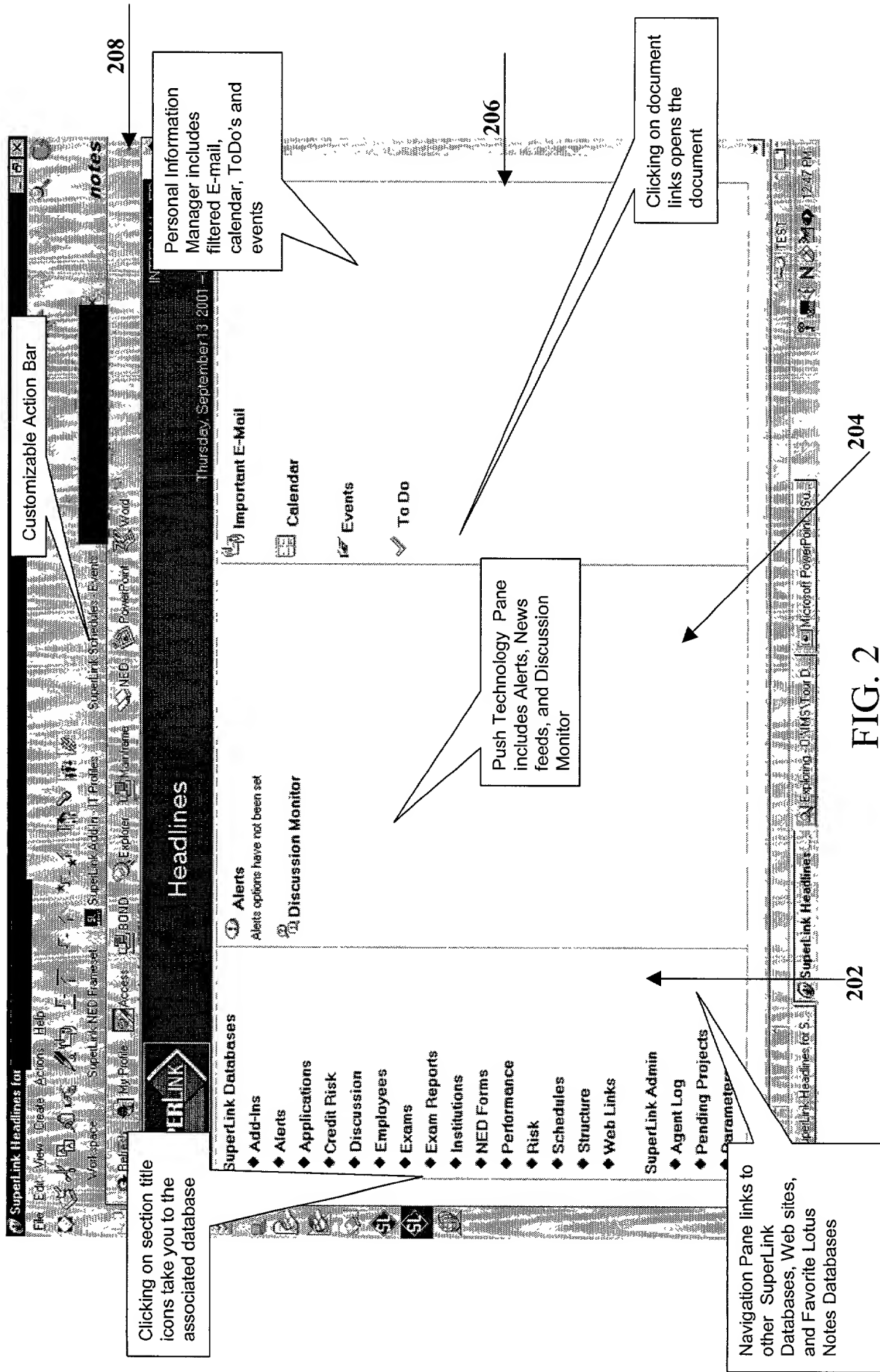


FIG. 2

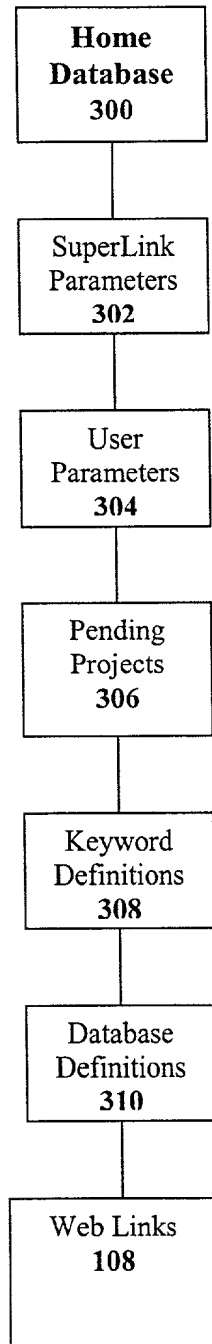


FIG 3

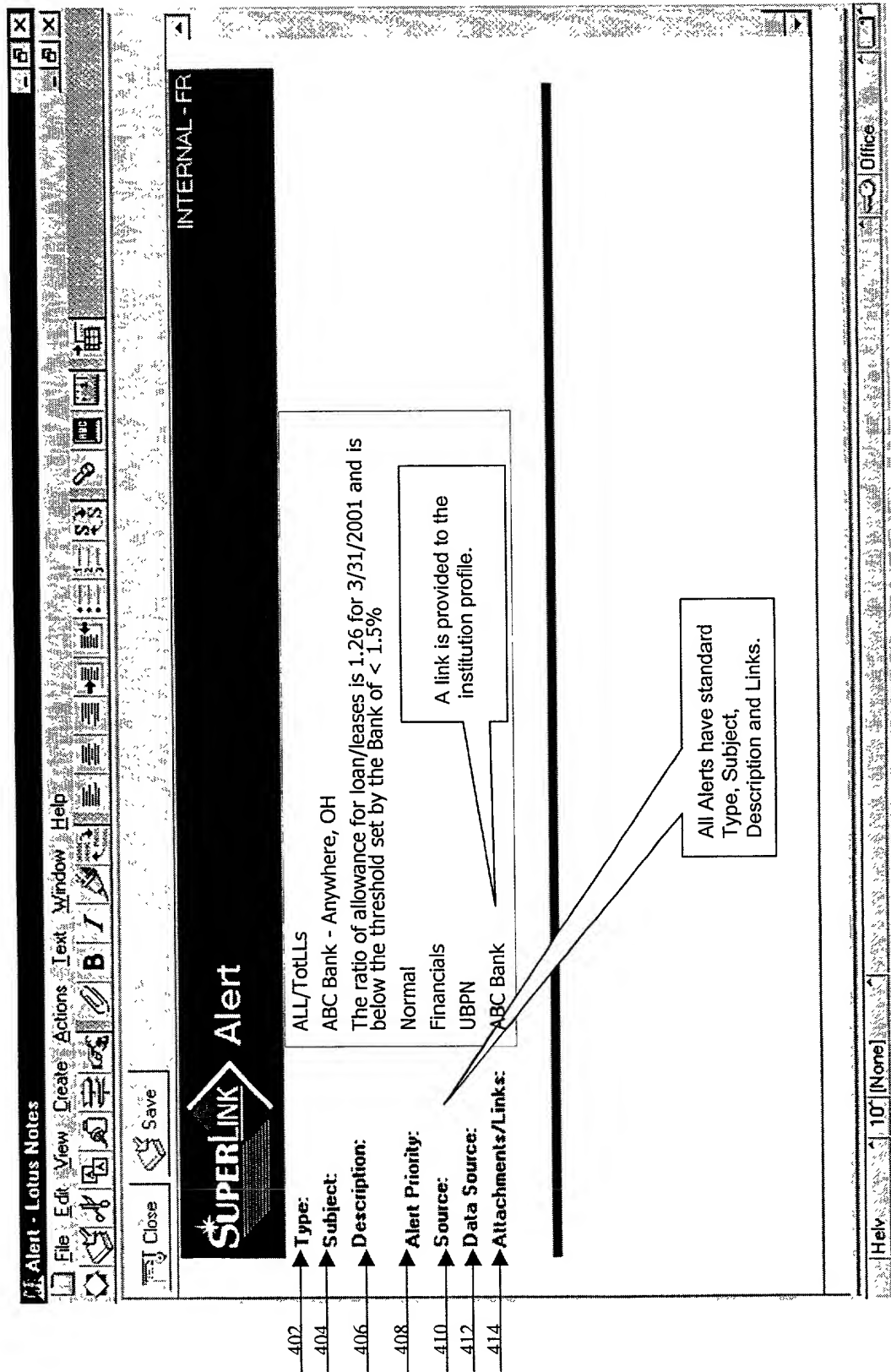




FIG. 4a

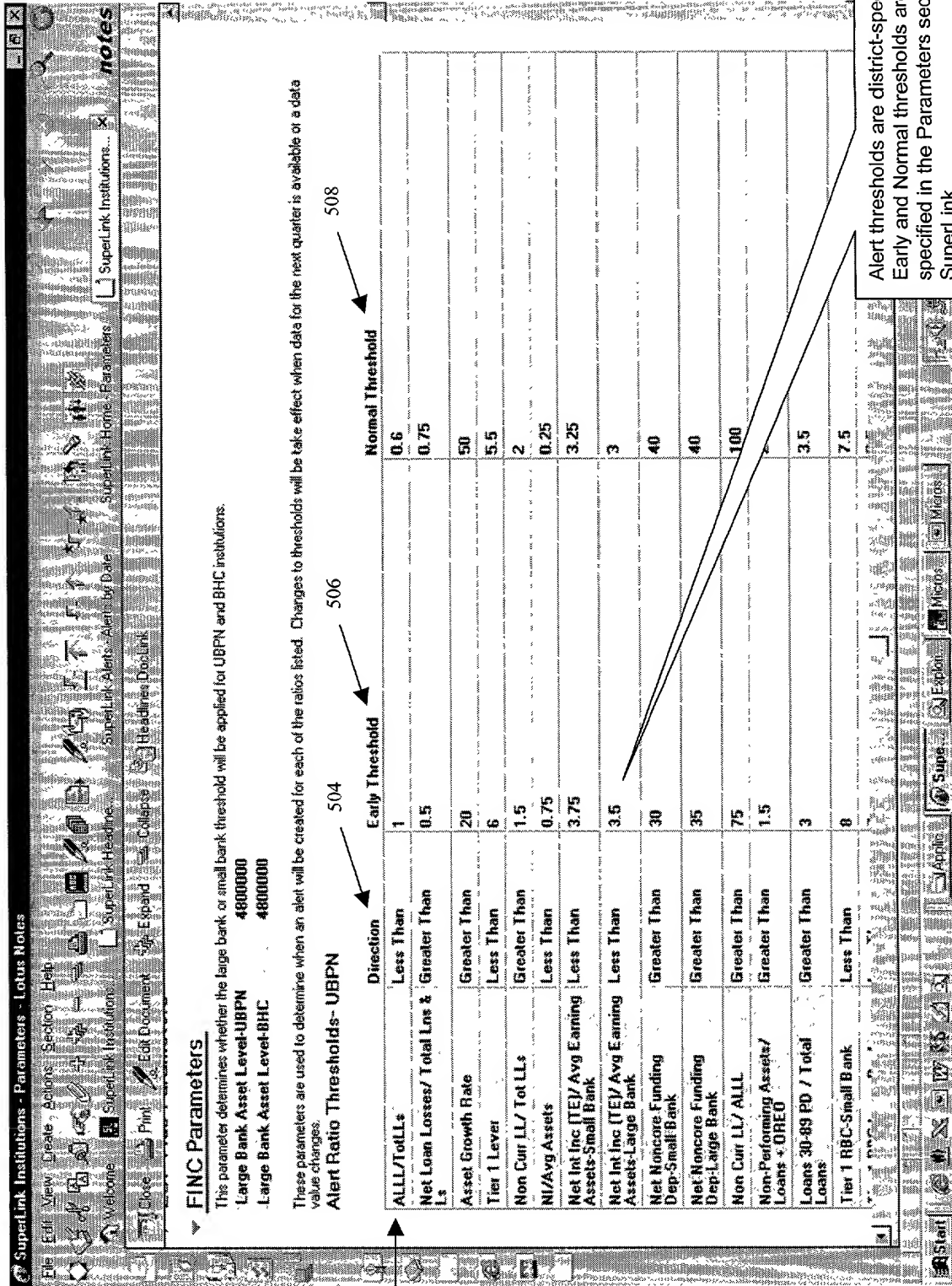


FIG. 5

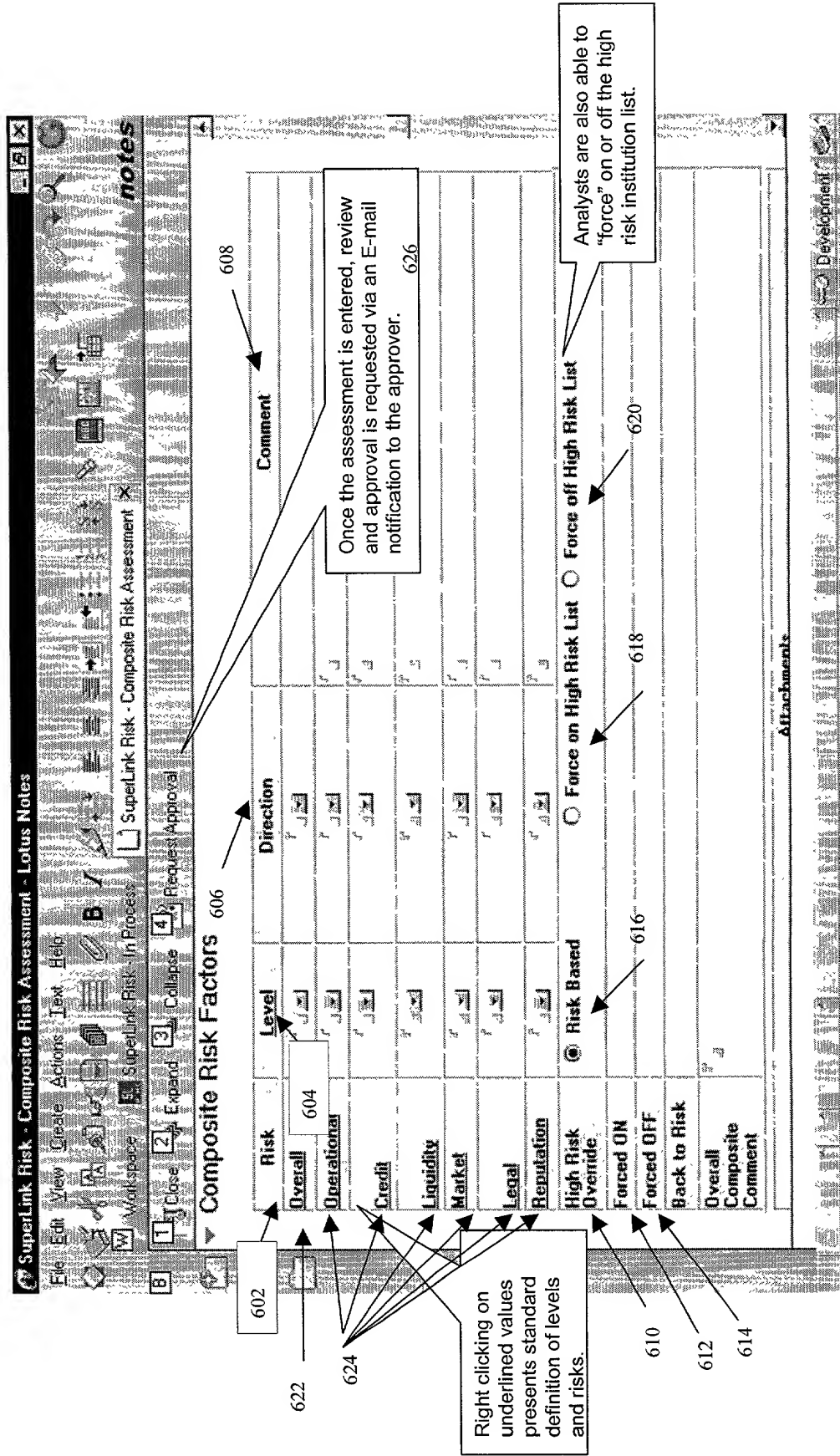


FIG. 7

802

804

805

806

808

810

812

ASSESSMENTS

804	Level High/Medium/Low	Direction Increase/Stable	Status Prelim/Final	Start Date (CCYYMMDD)	End Date (CCYYMMDD)
Overall:			Final	01/01/2000	03/08/2000
Operational:	H	Stable	Final	01/01/2000	03/08/2000
Credit:	M	Stable	Final	01/01/2000	03/08/2000
Liquidity:	NA	NA	Final	01/01/2000	03/08/2000
Market:	NA	NA	Final	01/01/2000	03/08/2000
Legal:	NA	NA	Final	01/01/2000	03/08/2000
Reputation:	NA	NA	Final	01/01/2000	03/08/2000

820

822

824

826

840

STAFF RESOURCES FOR EACH AGENCY (Lead and/or Participant) (Last Name, First Name)

Agency (e.g. FRB Boston):	Three C User3
Examiner in Charge:	Three C User3
Reviewer:	Three C User3

EXAM HOURS

Lead Agency	Budgeted	Actual
On-site	Off-site	Commissioned:
Preparation:		Noncommissioned:
Assessment:		Training:
Total By Stage:	0	Total By Category:
Travel:		GRAND TOTAL (+ Travel):

800

FIG. 8

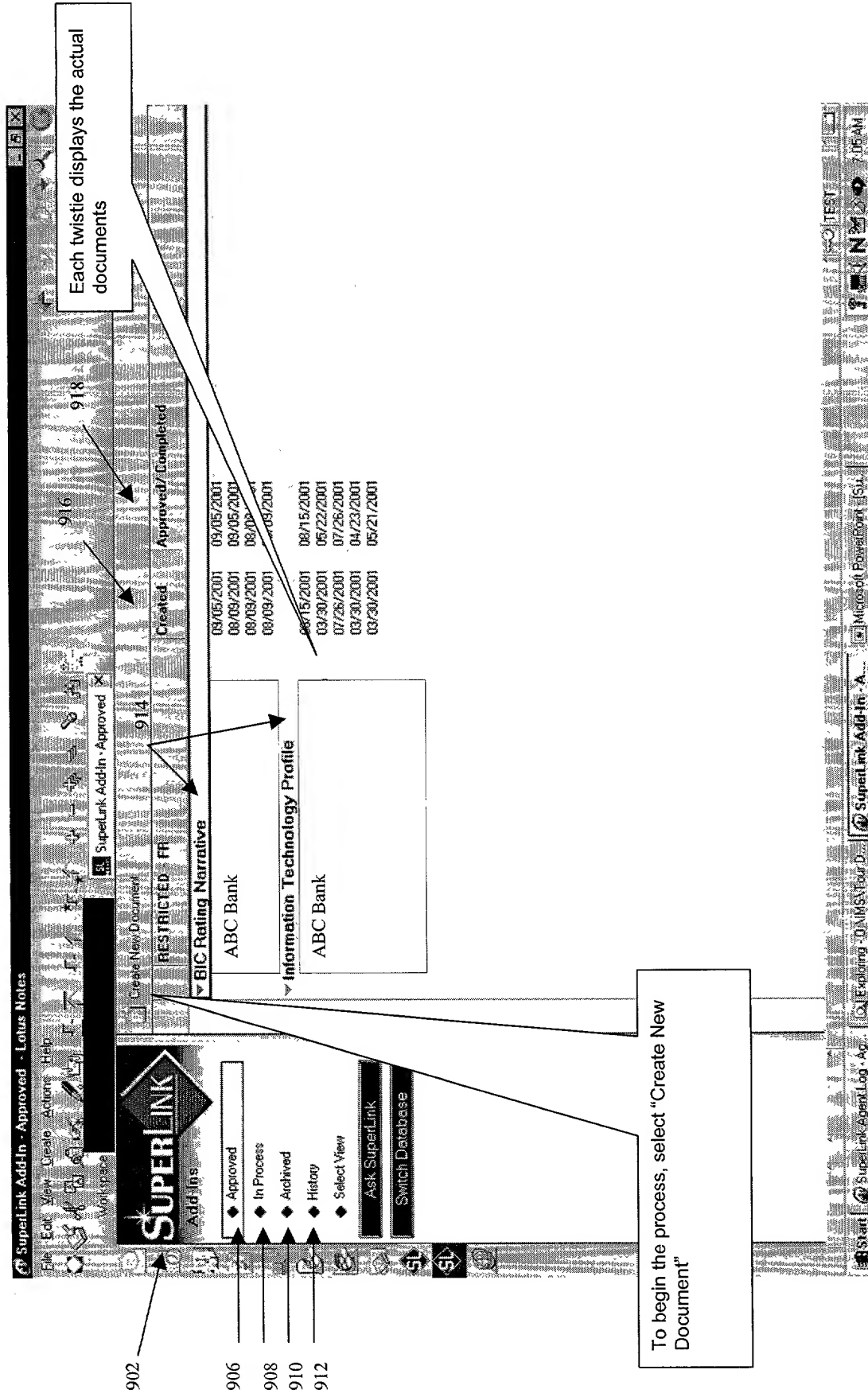


FIG. 9a



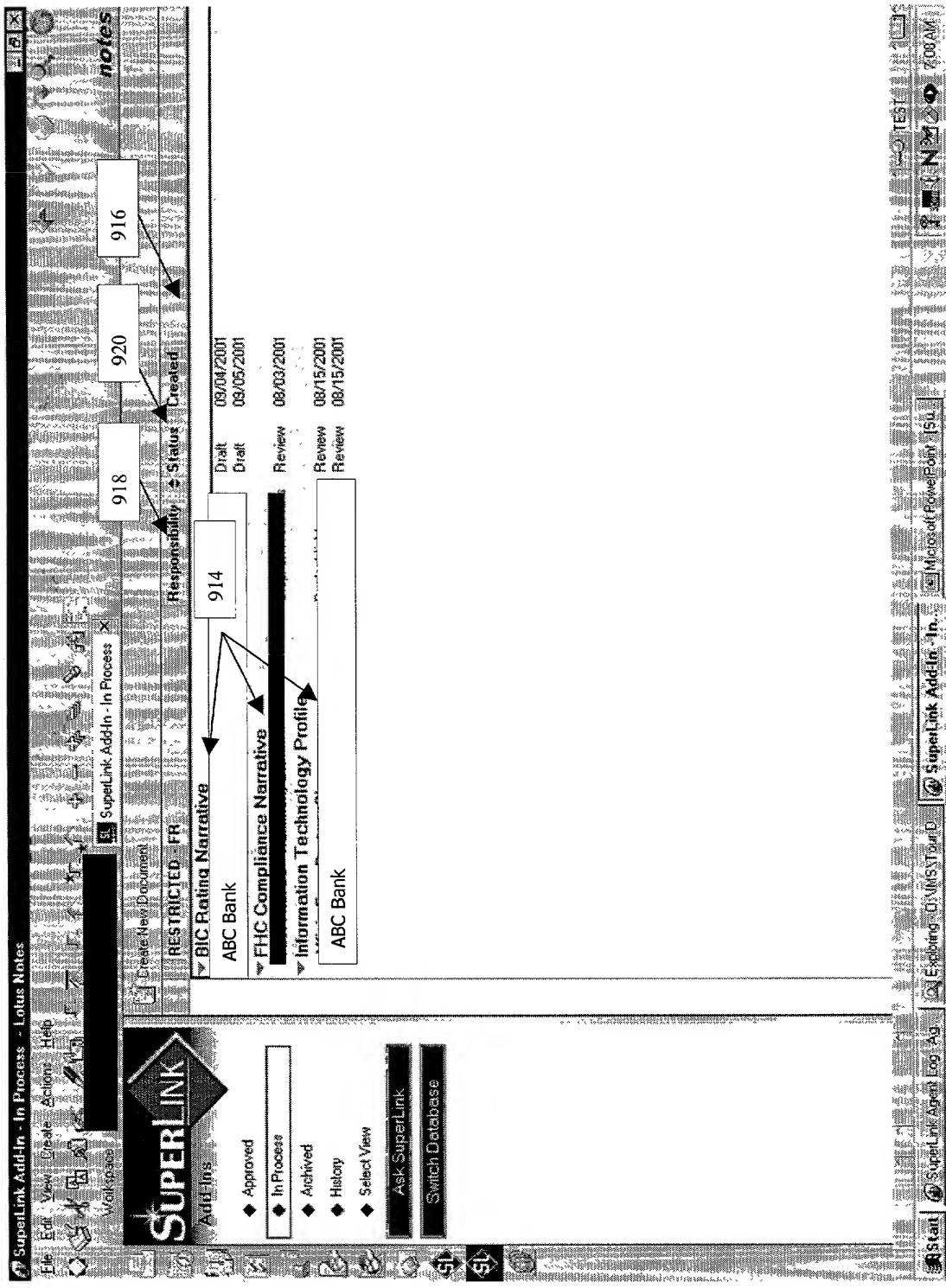
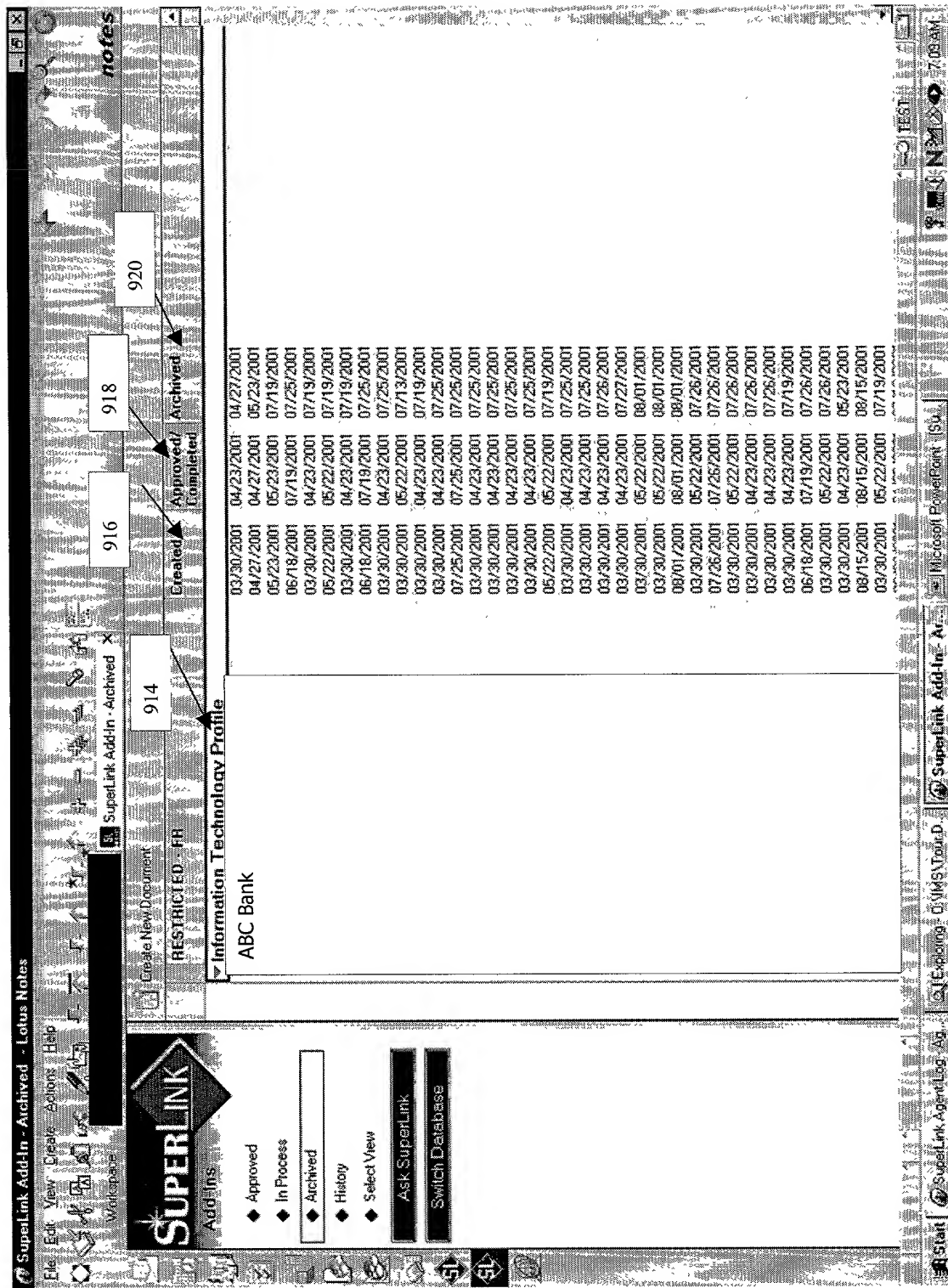


FIG. 9b





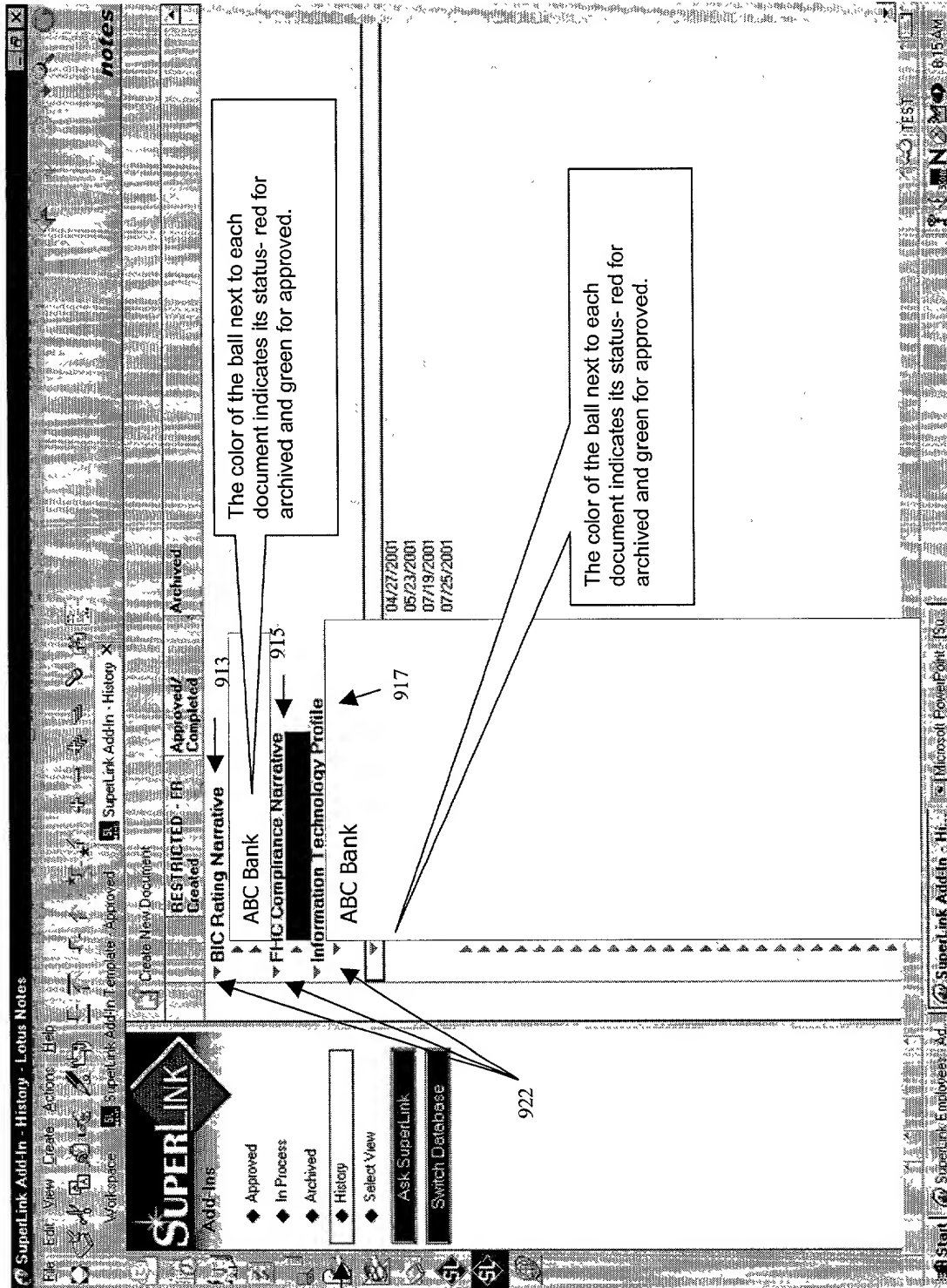
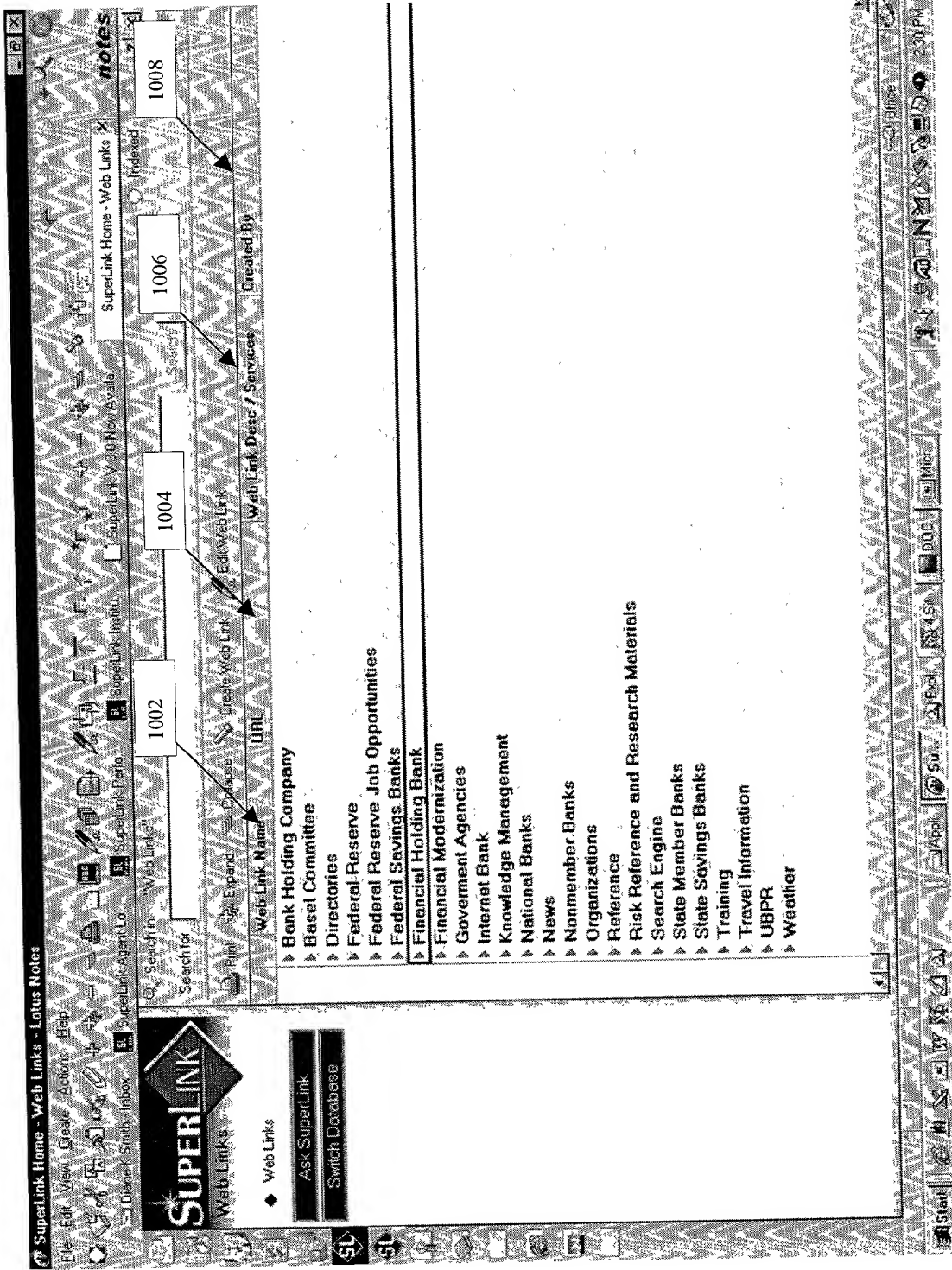


FIG. 9d



1112

Detailed information on services available through institution Web sites are recorded in "Web Links" and used in IT Profiles.

**Note:** Institution web sites are linked to the corresponding institution profile.

FIG. 11



SuperLink Structure - All Reports - Lotus Notes

File Edit View Delete Actions Help

SuperLink Structure

Processing

Processing Status

By Analyst

All Structures

All Reports

Restricted Processing

Structure Tracking

Tracking Status

Pending Report

Structure History

Ask SuperLink

Switch Database

SuperLink Structure - All Reports

Print Create Report Export to Excel

Institution Name

ABC Bank

Report Type

FRY6A

ID RSSD

ABA Number

0

Entity Type

Financial Holding Bank

Report Received

03/24/2000

Analyst

FRB Compl Date

03/28/

Institution Name	Report Type	ID RSSD	ABA Number	Entity Type	Report Received	Analyst	FRB Compl Date
ABC Bank	FRY6A		0	Financial Holding Bank	03/24/2000		03/28/
	FRY6A		0	Financial Holding Bank	03/24/2000		03/27/
	FRY6A		0	Bank Holding Company	03/24/2000		03/27/
	FRY6A		0	Bank Holding Company	03/27/2000		03/29/
	FRY6A		0	Bank Holding Company	03/31/2000		03/31/
	FRY6A		0	Bank Holding Company	03/31/2000		03/31/
	FRY10		0	Financial Holding Bank	03/31/2000		03/31/
	FRY6A		0	Financial Holding Bank	03/31/2000		03/31/
	FRY6A		0	Financial Holding Bank	04/03/2000		04/03/
	FRY6A		0	Bank Holding Company	04/05/2000		04/05/
	FRY6A		0	Financial Holding Bank	04/07/2000		04/07/
	FRY6A		0	Bank Holding Company	04/10/2000		04/10/
	FRY6A		0	Financial Holding Bank	04/14/2000		04/14/
	FRY6A		0	Bank Holding Company	04/24/2000		04/28/
	FRY6A		0	Financial Holding Bank	04/25/2000		04/25/

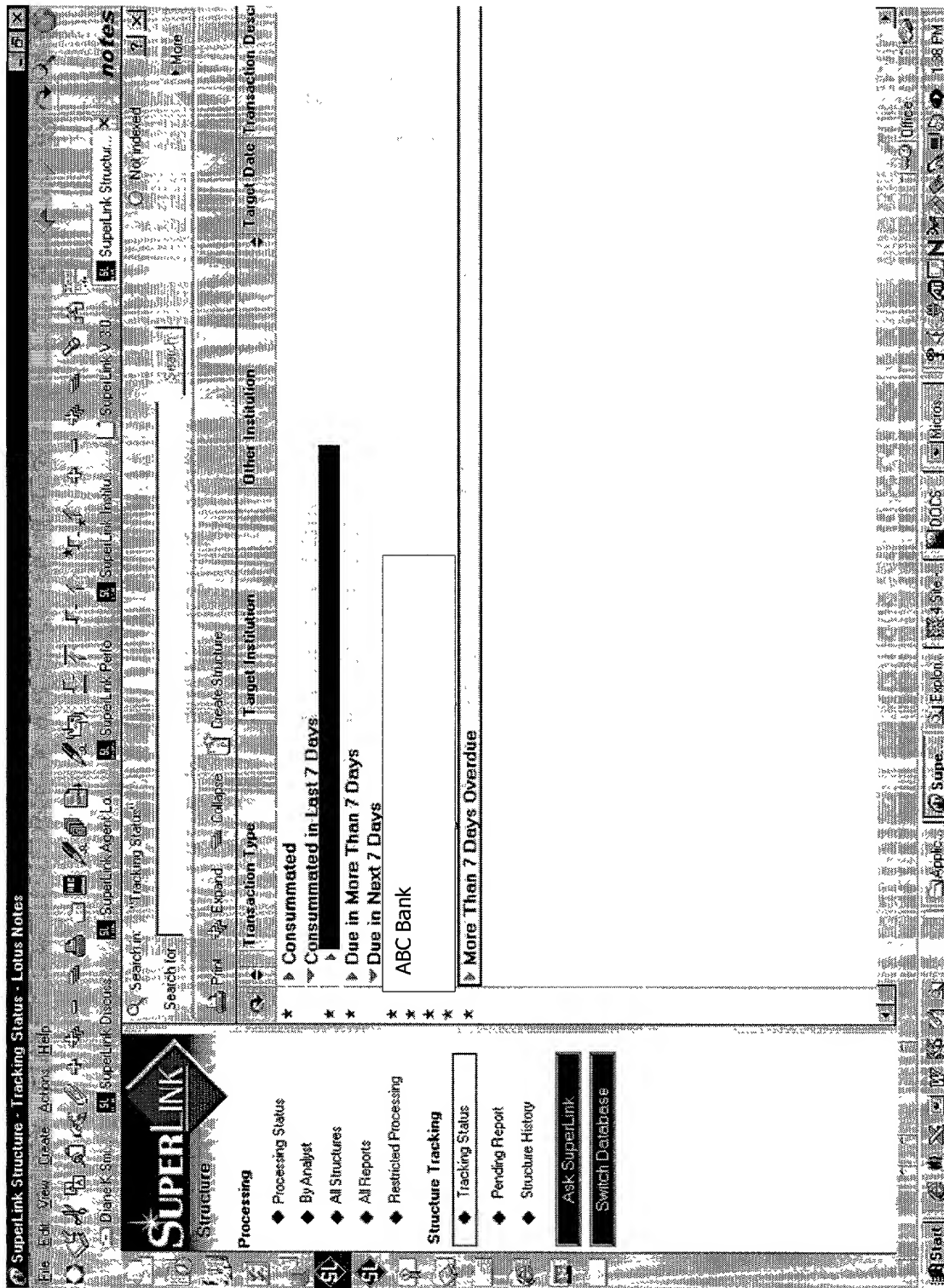
SuperLink Structure

Exporting D:\MST\bu D\

Microsoft PowerPoint (Su...

8:24 AM

FIG. 12b



1202

FIG. 12c



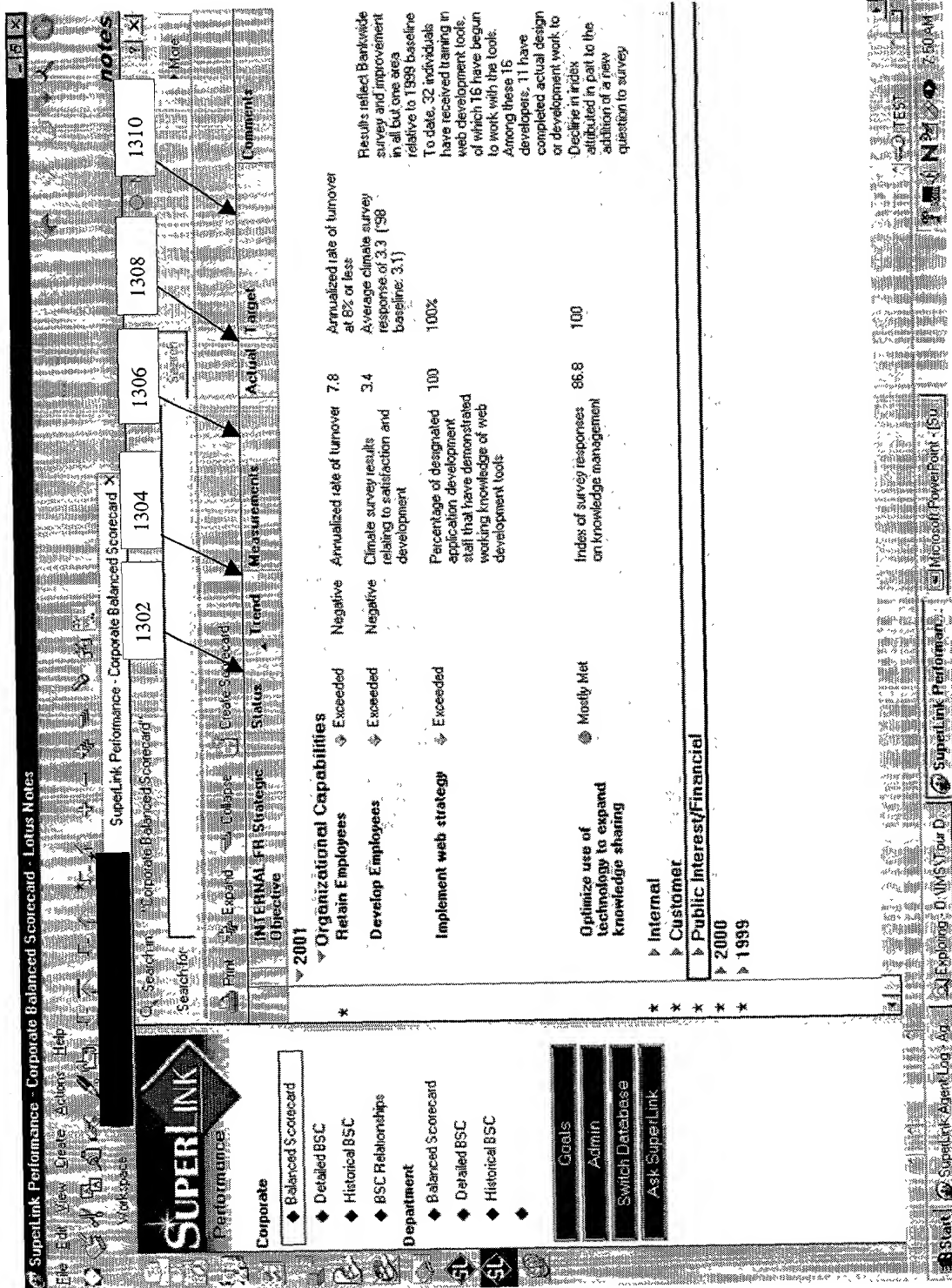


FIG. 13

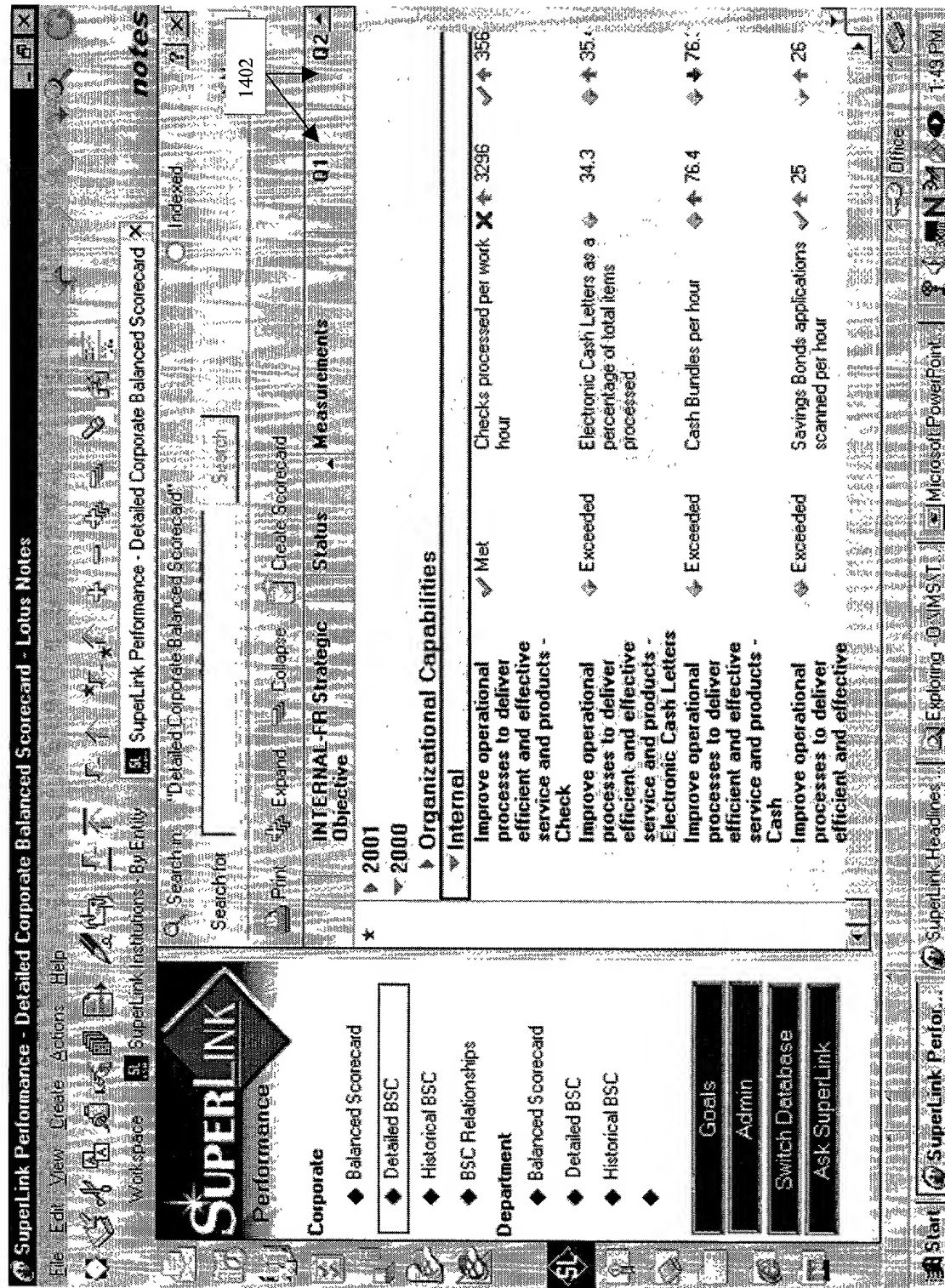
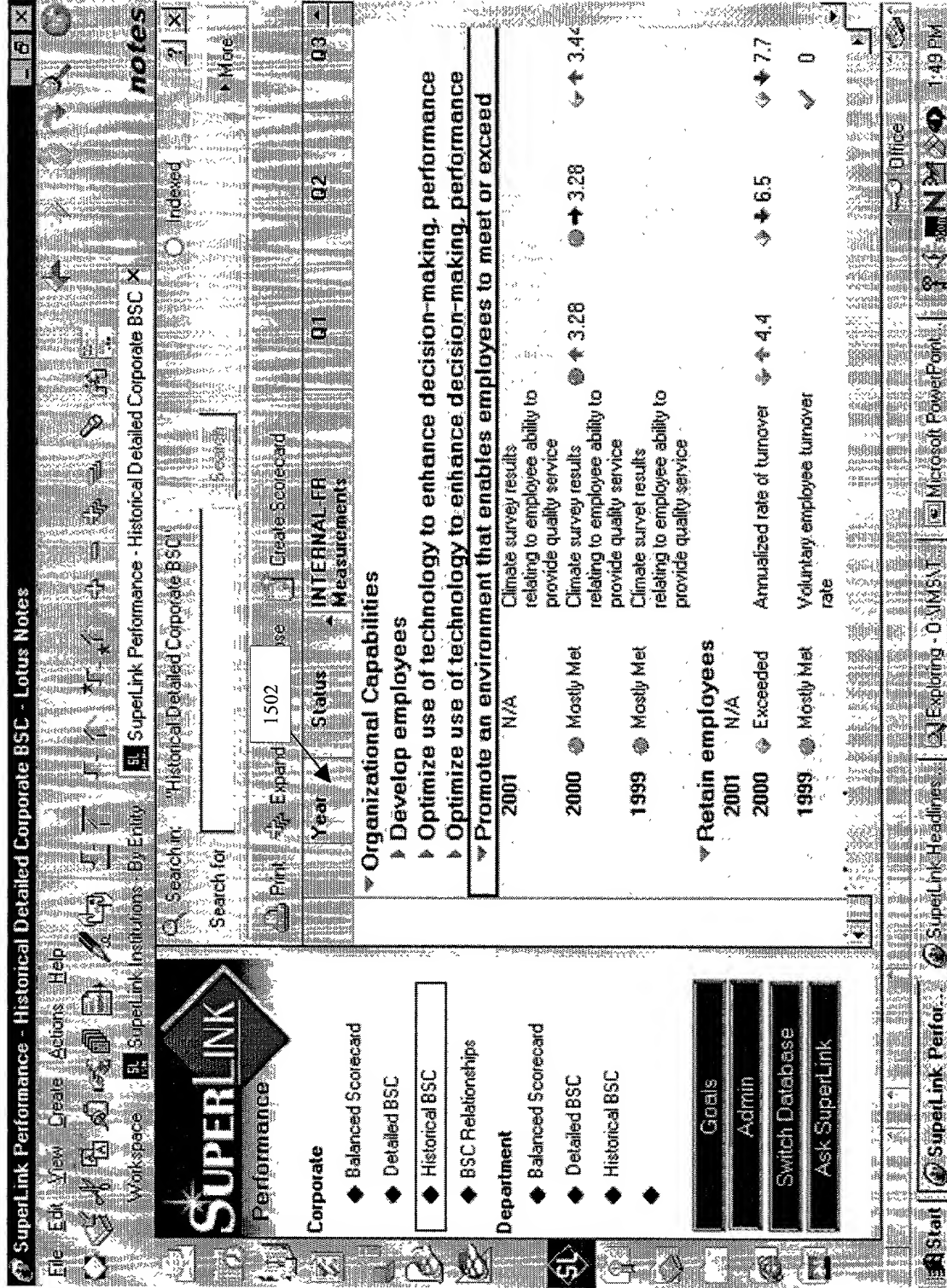


FIG. 14





1500

FIG. 15

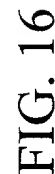


FIG. 16

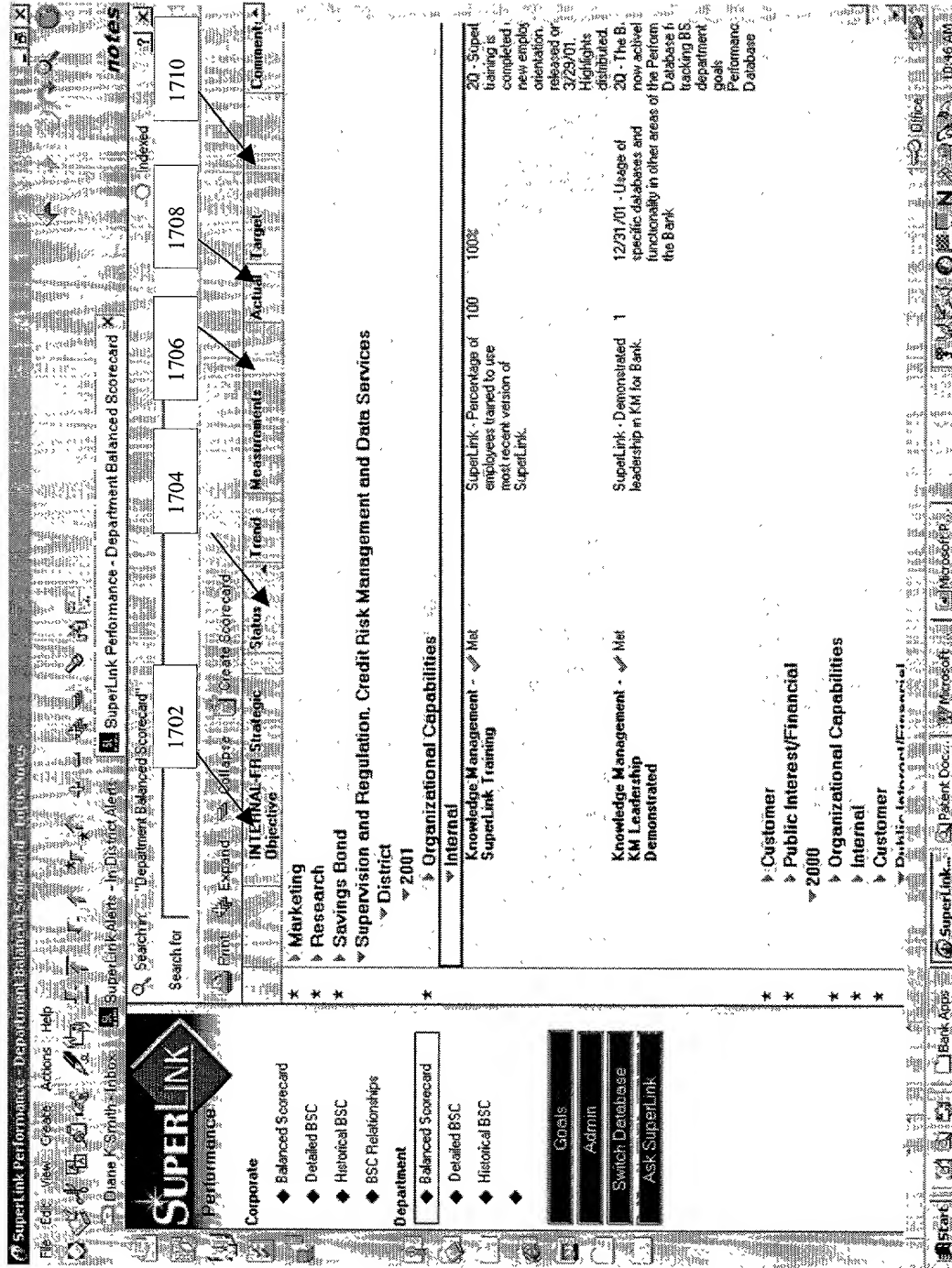
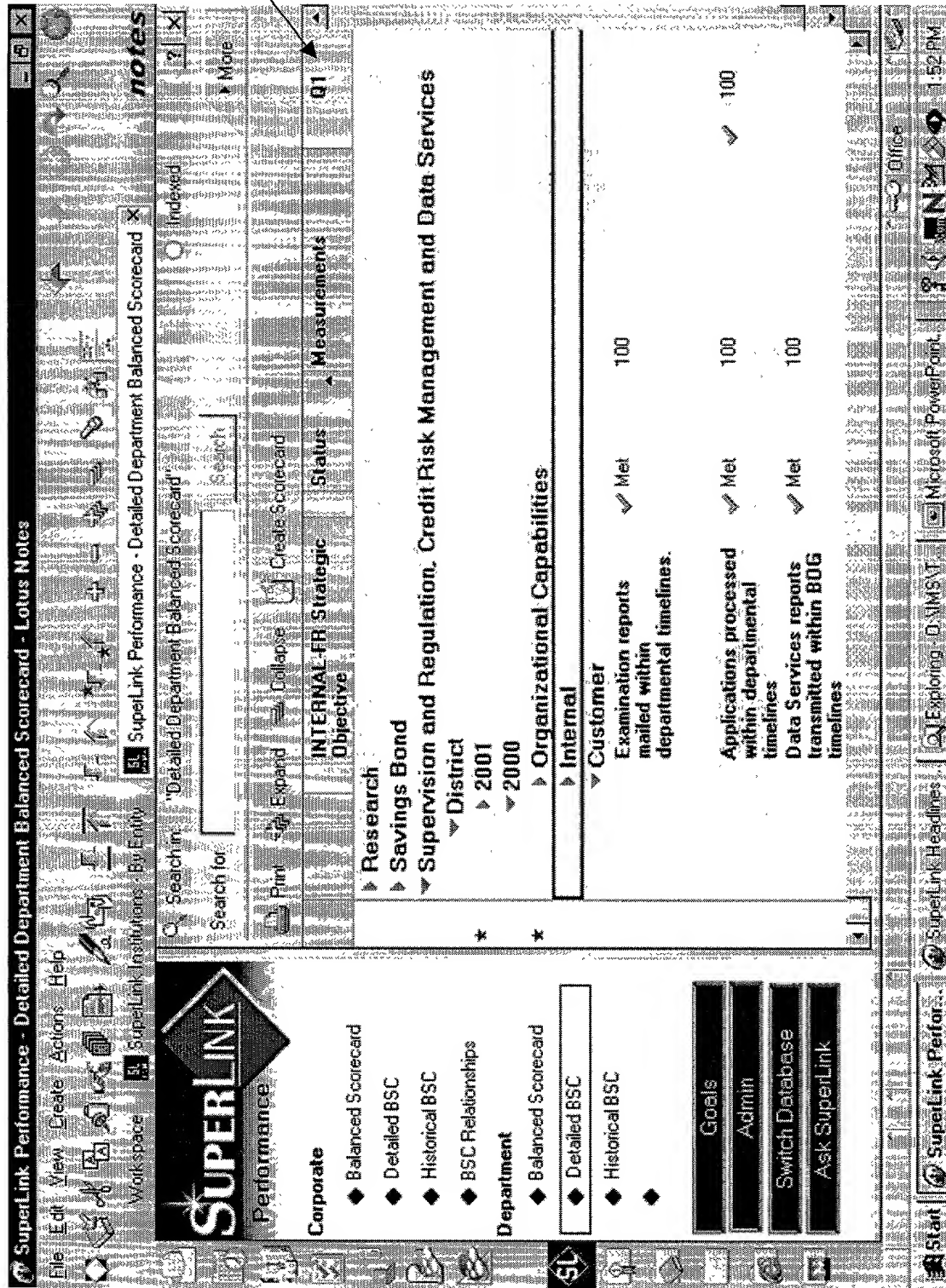


FIG. 17



1900



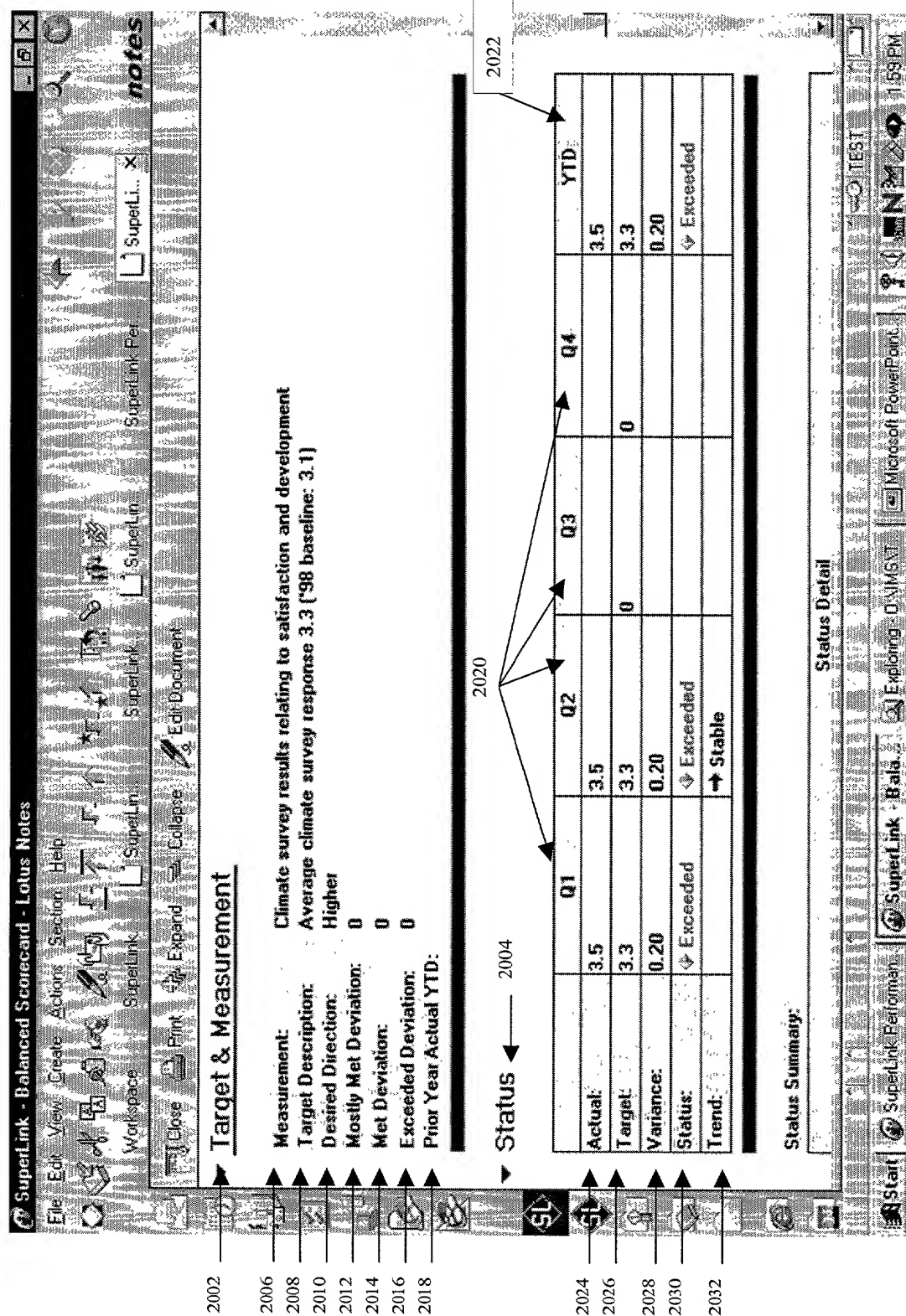
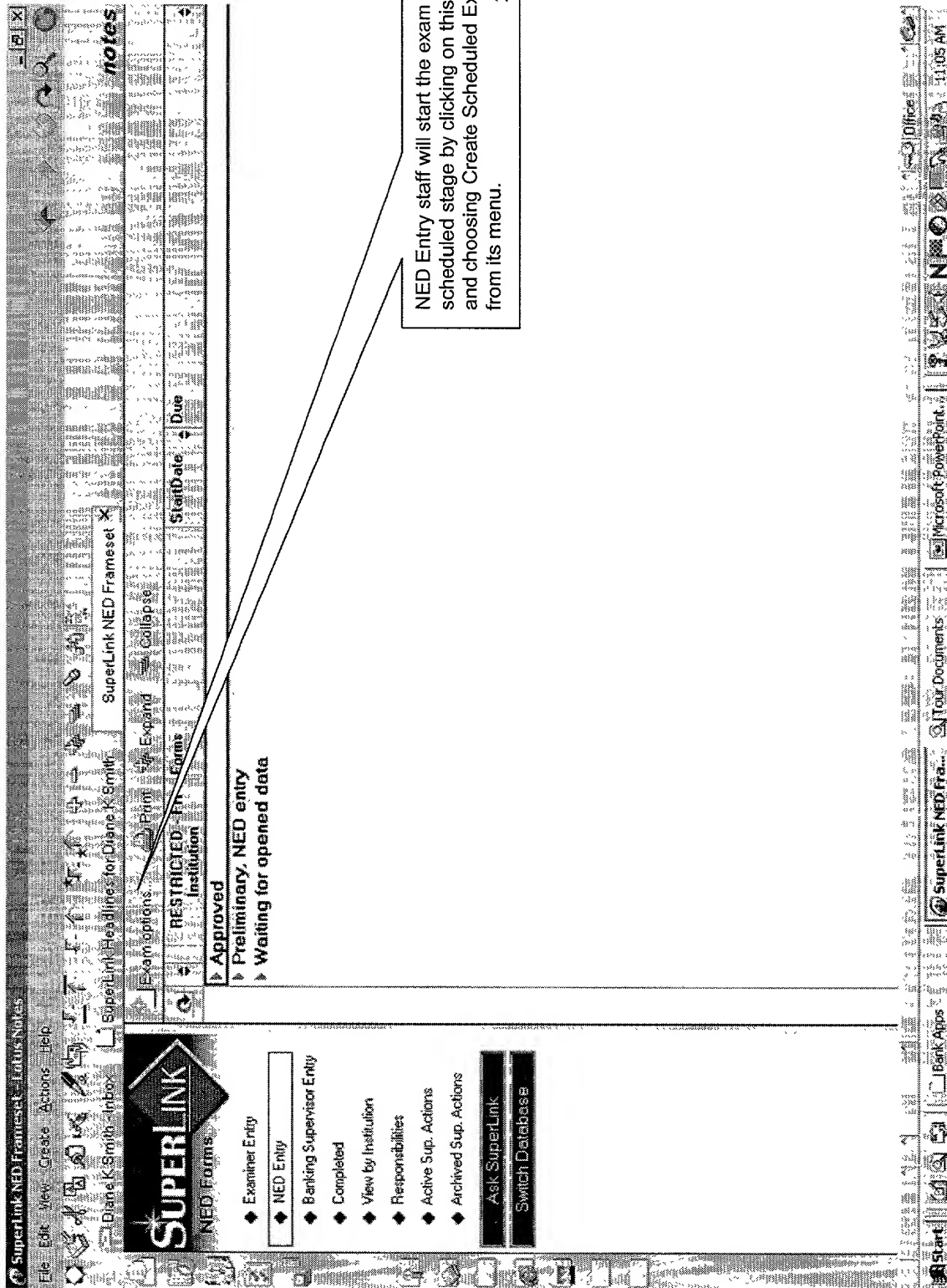


FIG. 20







NED Entry will fill out the appropriate data in the Open section of each exam-specific form.

Then, clicking on this button will send the exam to the next stage.

RP- (Opened)- Lotus Notes

2302

**Send Opened for Entry**

**2302**

**NED Examination forms**

**ABC Bank Holding Company, Anywhere, OH**

**12345**

**BHC - Bank Holding Company**

**09/18/2000**

**Scheduled Start Date:**

**This Exam is waiting for Open data.**

**Examiner** One A User1

**Exam-Specific Forms:**

**BHC Inspection - Large**

**-RESTRICTED-**

**NATIONAL EXAMINATION DATA**

**BHC INSPECTION - LARGE INPUT FORM**

**OPEN STAGE: EXAM DATA INPUT**

**Start Date (MM/DD/CCYY):** 09/18/2000

**Continuous?** ☒ Yes ☐ No

**Location:** ☐ Onsite ☐ Offsite

**Purpose:** ☐ Change in Control ☐ Membership ☒ Compliance ☐ Routine ☐ De Novo ☐ Surveillance

**Financial As Of Date (MM/DD/CCYY):** 09/18/2000

**Mandated?** ☒ Yes ☐ No

**Conducted:** ☐ Concurrent ☒ Independent ☐ Joint

**Scope:** ☒ Full ☐ Limited ☐ Offsite Review

**Supervisory Assessment** ☐ Target ☐ Risk Assessment

**Lead Agency:** FRB ☒ FRI

**Lead Office:** Cleveland ☒ [X]

**STAFF RESOURCES FOR EACH AGENCY**

**2310**

**2312**

**2314**

**2318**

**2320**

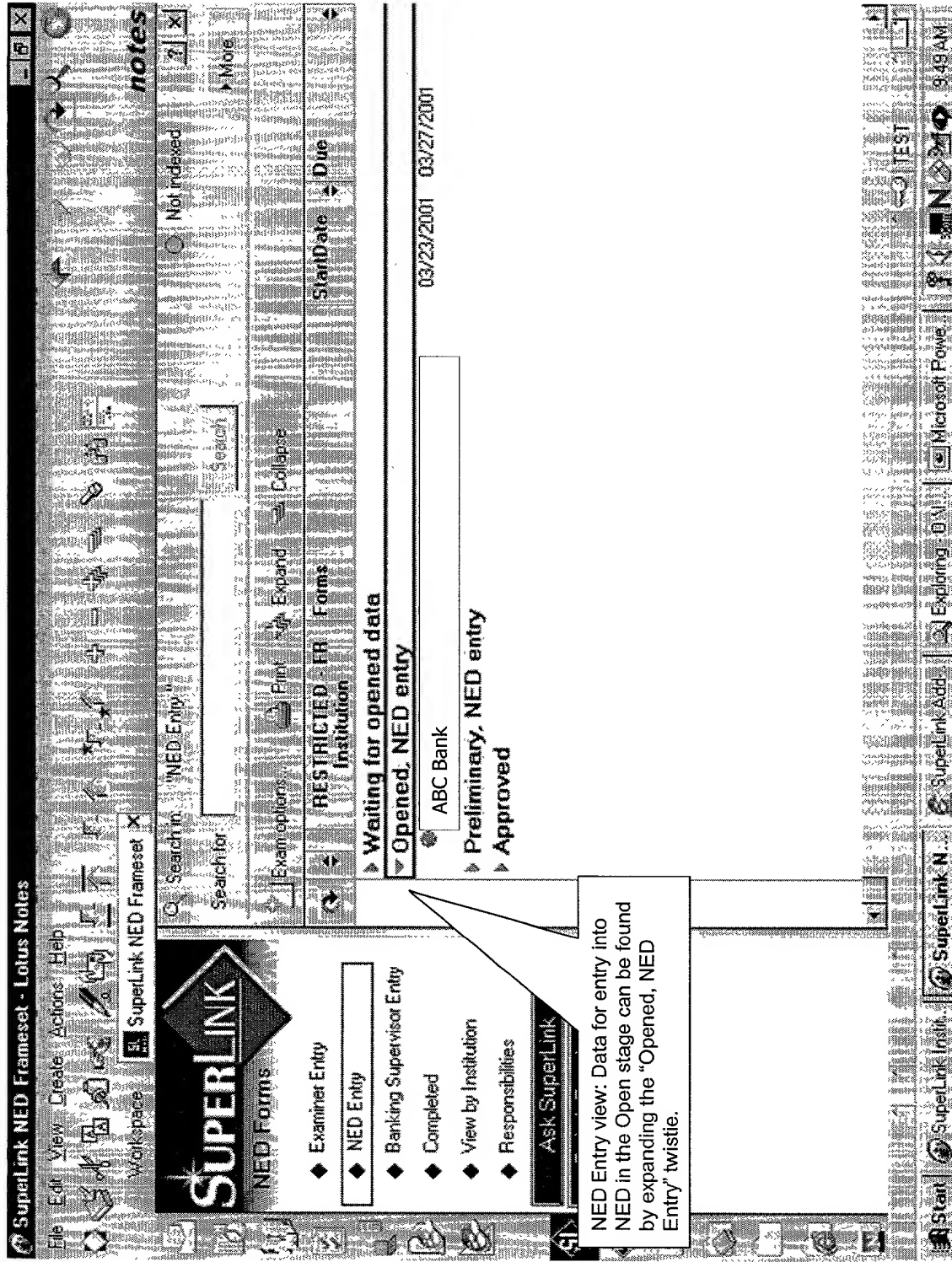
**2304**

**2306**

**2308**

**2316**

This is the protected text area of the form





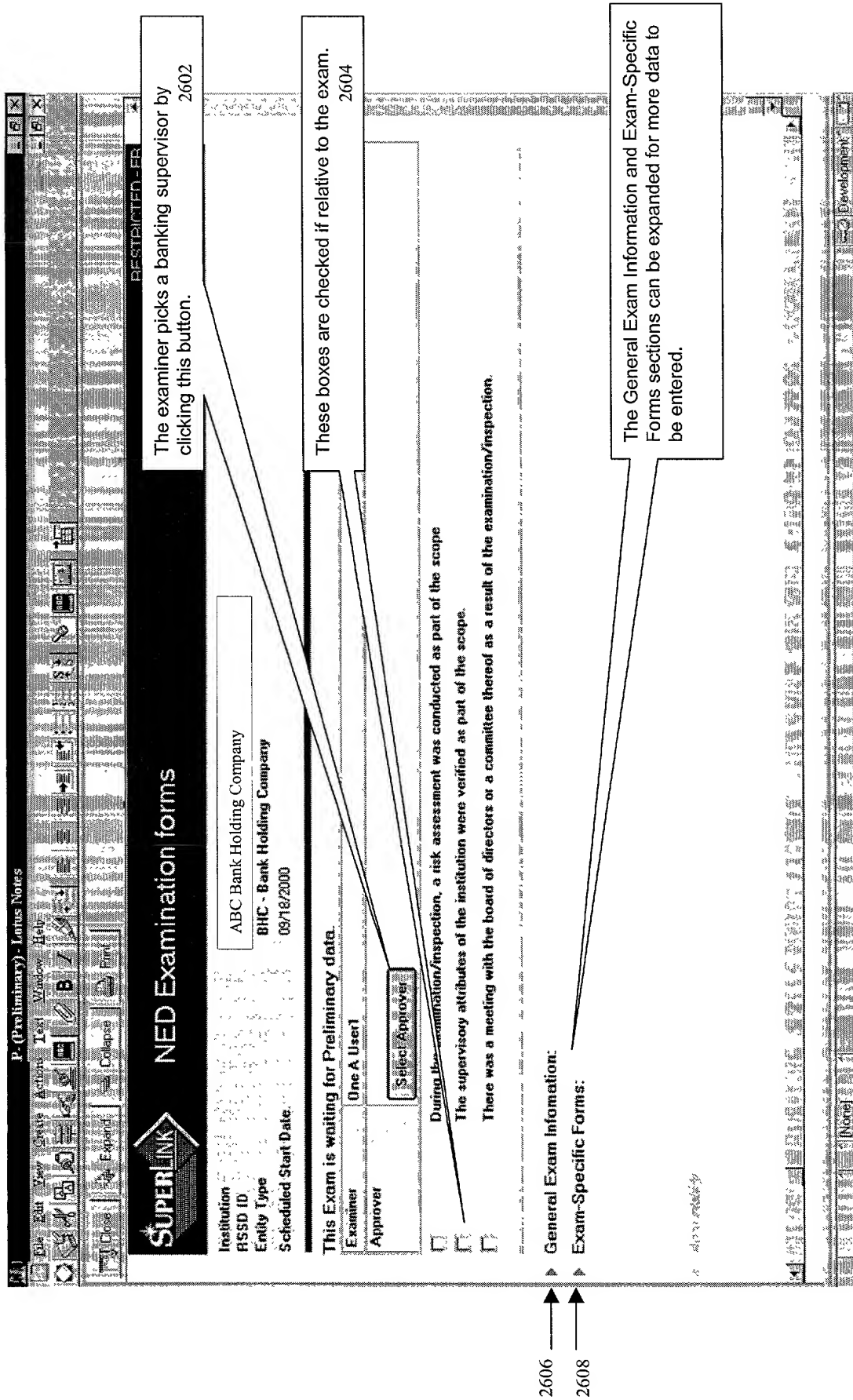


FIG. 26

IP (Preliminary) - Lotus Notes
File Edit View Create Actions Section Window Help

**General Exam Information:**

**FORMS SELECTED:**  
BHC Inspection - Large

**NAME OF PARTICIPATING AGENCIES:**

2714 →

2716 →

2720

2722 ←

**11 BANK EXAMINATION NARRATIVE SUMMARY REQUIREMENTS:**

**Discussion of Examination Findings:**  
Check this box if one or more of these conditions is present:  
-Any targeted examination, giving a brief description of the examination scope.  
-Any "3" or worse CAMELS composite rating.  
-Any component or composite rating that is inconsistent with Board guidelines.  
-Any significant changes in financial condition.  
-Any notable impact of a top tier entity on the condition of, or prospects for, the organization.  
-Any unsafe and unsound, speculative, or abusive practices noted during the examination.  
-Any material violations of law or regulations noted during the examination, including repeat violations or situations involving questionable integrity or disregard for regulations or internal company policy.

**Supervisory Action:**  
The narrative page is also required if the bank is subject to an outstanding or proposed supervisory action. The supervisor action section should discuss the degree of compliance with any existing action, and note whether any upgraded or additional action is proposed as a result of the examination findings. If there has been a lack of compliance with an existing supervisory action, the Reserve Bank's proposed course of action should be presented.

**Chain Banking Relationships:**  
The narrative page is also required for a bank involved in a chain banking relationship. The narrative page should discuss whether the chain organization has any notable impact on the condition of, or prospects for, the bank. Additionally, the following data items are to be presented for the chain organization as of the bank's examination date (indicate if a different date is used for any of these items):  
-Chain Name  
-Number of Banks or BHCs Rated 3, 4 or 5  
-Total Banking Assets

2710 →

2712 →

2718 →

2730 →

2732 →

2734 →

2736 →

These buttons can be used to add or remove exam forms from the document.

FIG. 27











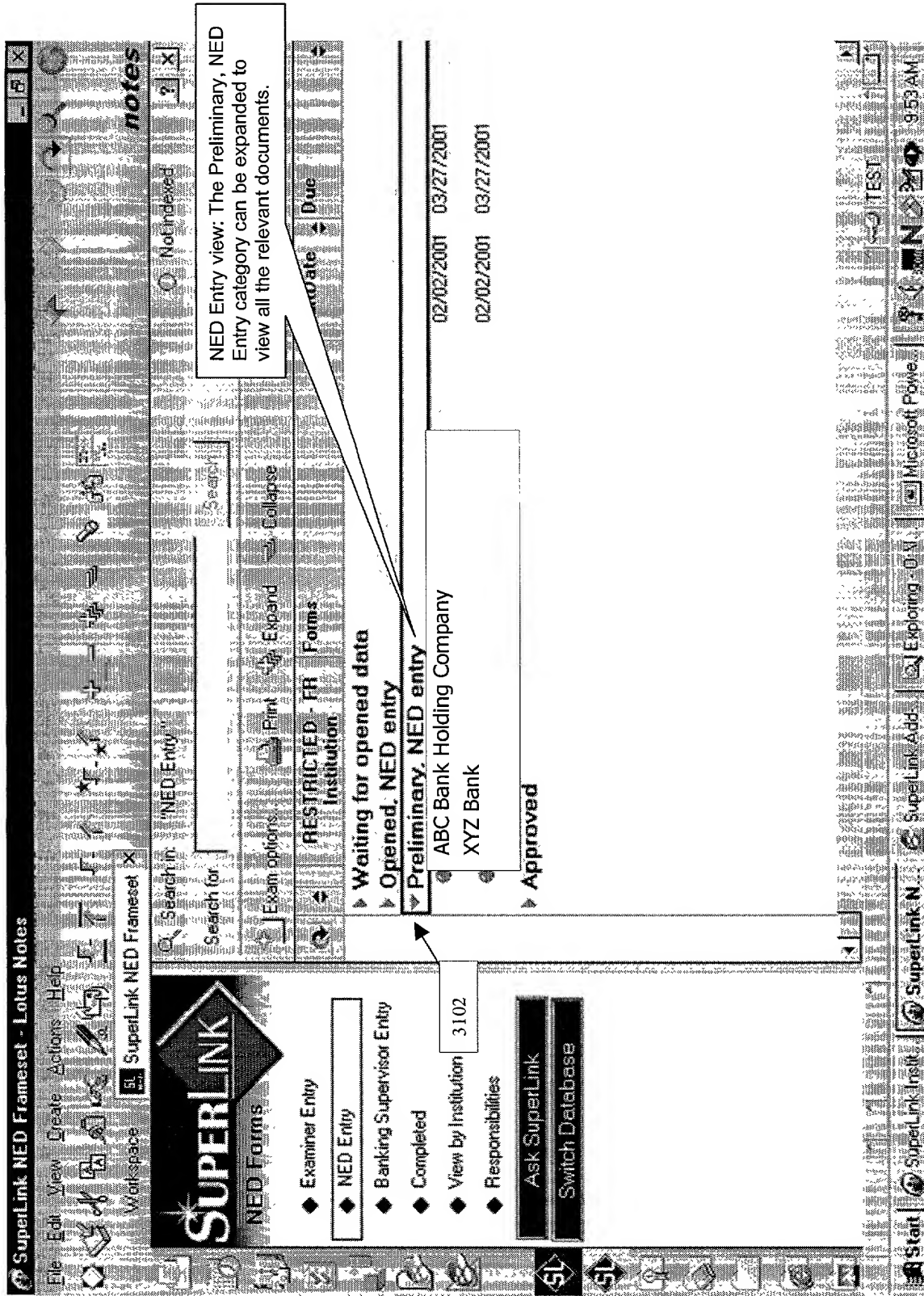


FIG. 31

**SUPERLINK** NED Examination forms

Institution	ABC Bank Holding Company
RSSD ID	BHC - Bank Holding Company
Entity Type	09/18/2000
Scheduled Start Date:	

3202

**This Exam has preliminary data, waiting for entry into NED.**

Examiner	One A User!	
Approver	Three C User3	

**During the examination/inspection, a risk assessment was conducted as part of the scope**

**The supervisory attributes of the institution were verified as part of the scope.**

**There was a meeting with the board of directors or a committee thereof as a result of the examination/inspection.**

► **General Exam Information:**

### Exam-Specific Forms:

Development

3200

FIG. 32

(Opened, NED entry) - Lotus Notes

File Edit View Create Action Section Window Help

3302

RESTRICTED - FR

**NED Examination forms**

**SUPERLINK**

Institution: ABC Bank Holding Company  
 RSSD ID: BHC - Bank Holding Company  
 Entry Type: 09/18/2000  
 Scheduled Start Date:

This Exam has been opened, waiting for entry into NED.

Examiner: One A User1

Once entry into the NED system is done, clicking on this button will send the exam document to the Preliminary stage.

General Exam Information:  
 Exam-Specific Forms:  
 BHC Inspection - Large

**-RESTRICTED-  
 NATIONAL EXAMINATION DATA  
 BHC INSPECTION - LARGE INPUT FORM**

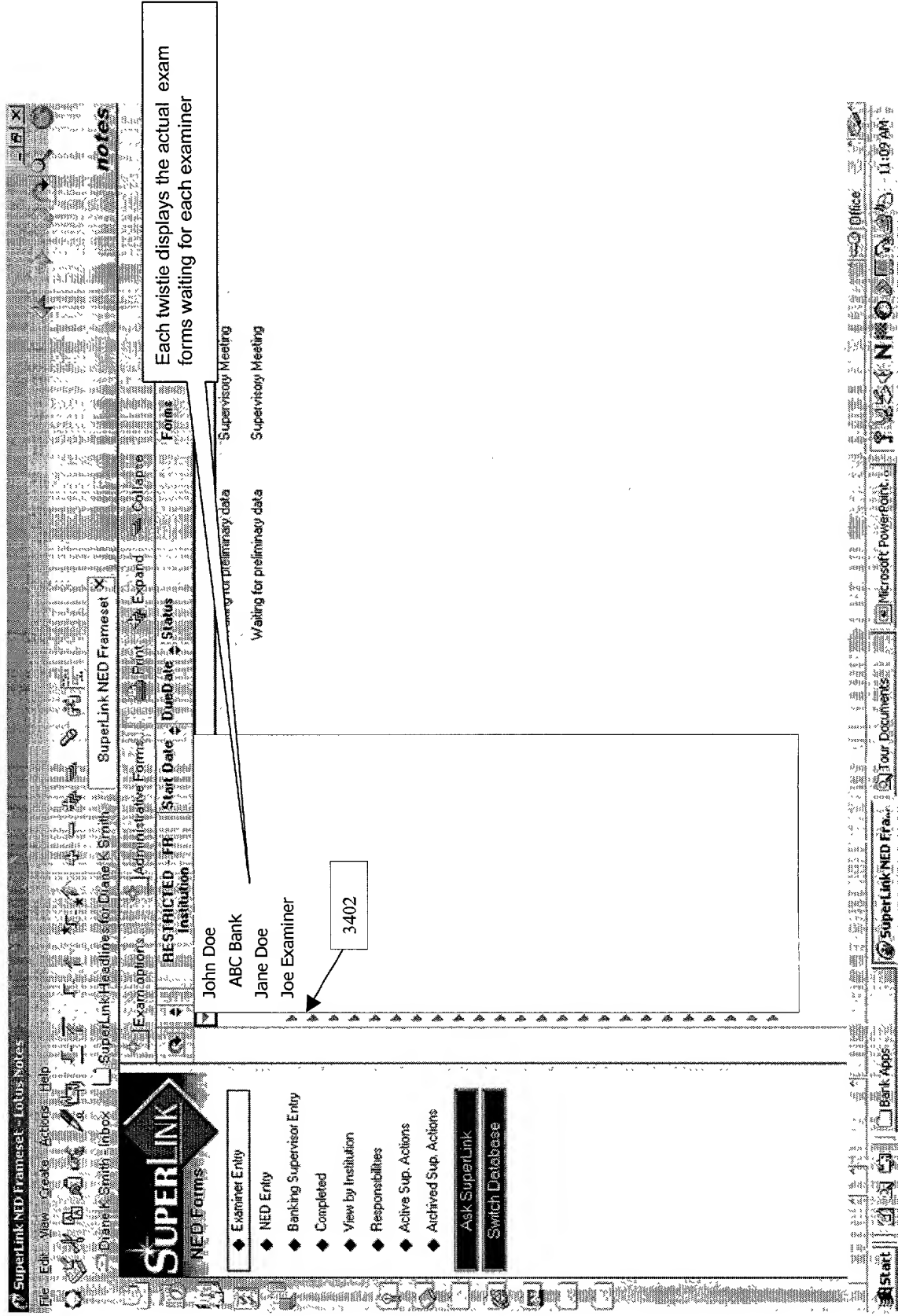
OPEN STAGE: EXAM DATA INPUT  
 Start Date (MM/DD/CCYY): 09/18/2000

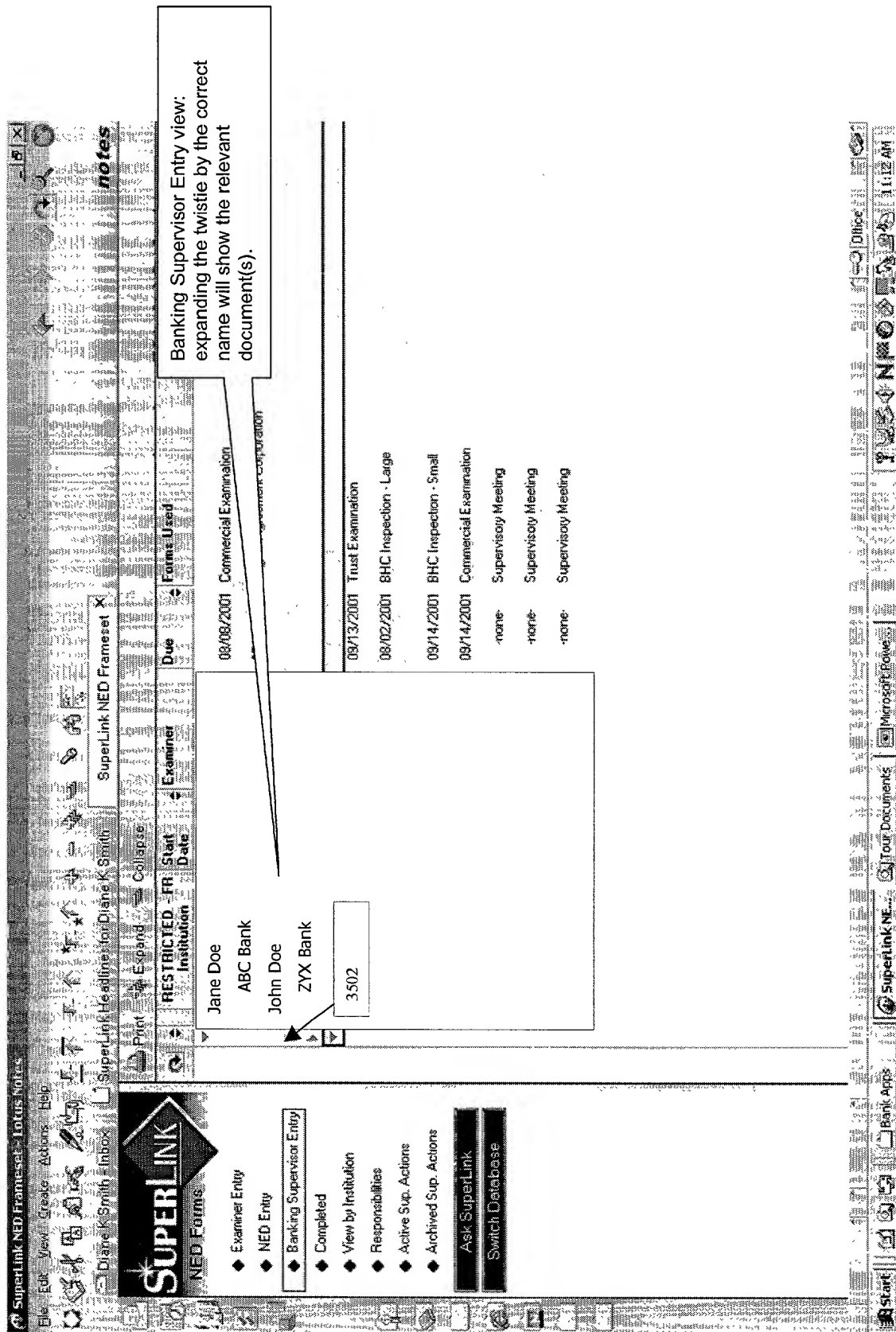
Continuous? ☒ Yes ☐ No  
 Location: ☐ Onsite ☒ Offsite  
 Purpose: ☐ Change in Control ☐ Premergership ☒ Compliance ☐ Routine ☐ De Novo ☐ Surveillance ☐ Due Diligence

Financial As Of Date (MM/DD/CCYY): 09/18/2000  
 Mandated? ☒ Yes ☐ No  
 Conducted: ☐ Concurrent ☒ Independent ☐ Joint  
 Scope: ☒ Full ☐ Limited ☐ Offsite Review  
☐ Supervisory Assessment ☐ Target ☐ Risk Assessment

This is the protected text area of the form.

[None] [Development]











3800

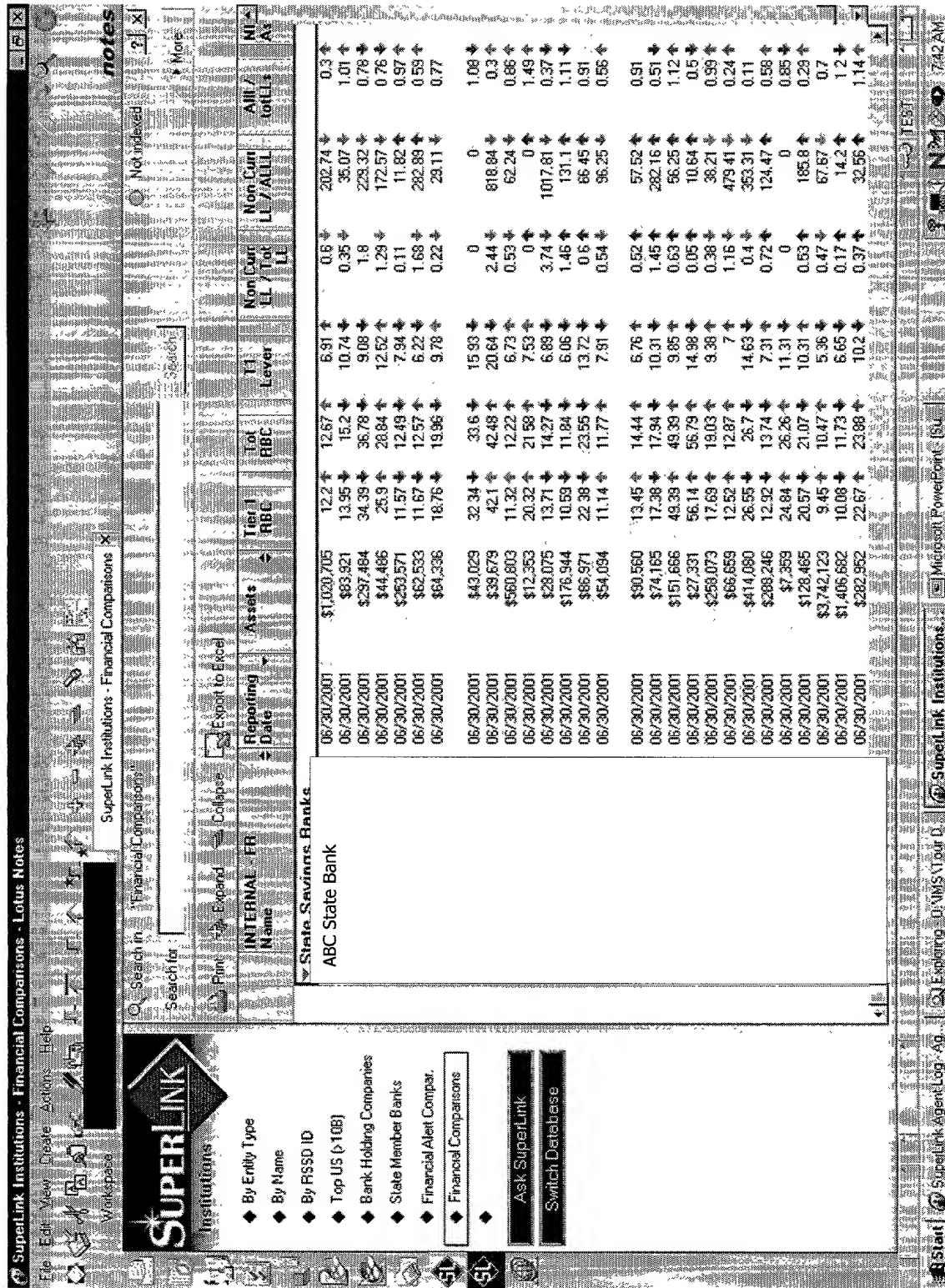
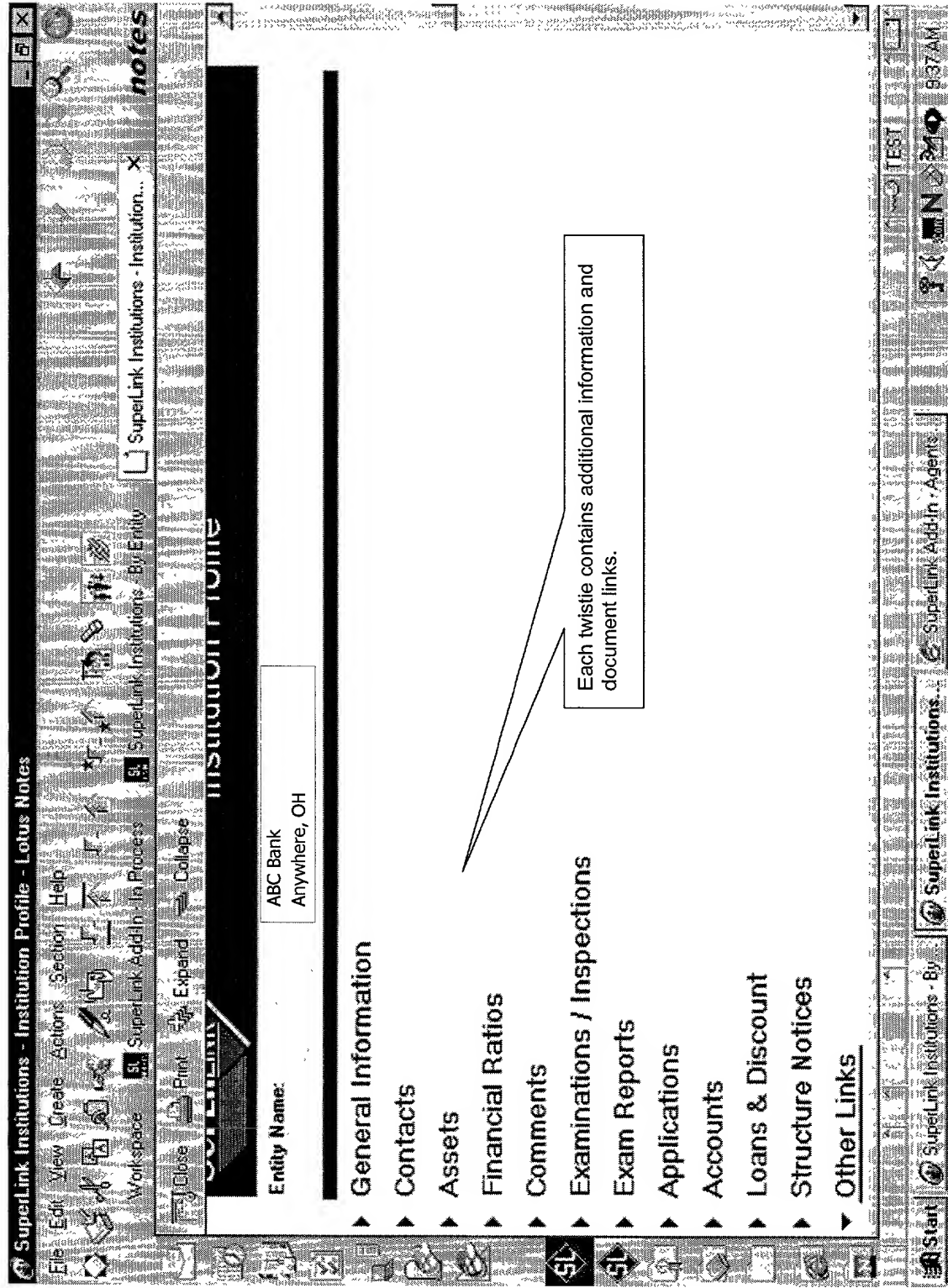


FIG. 39

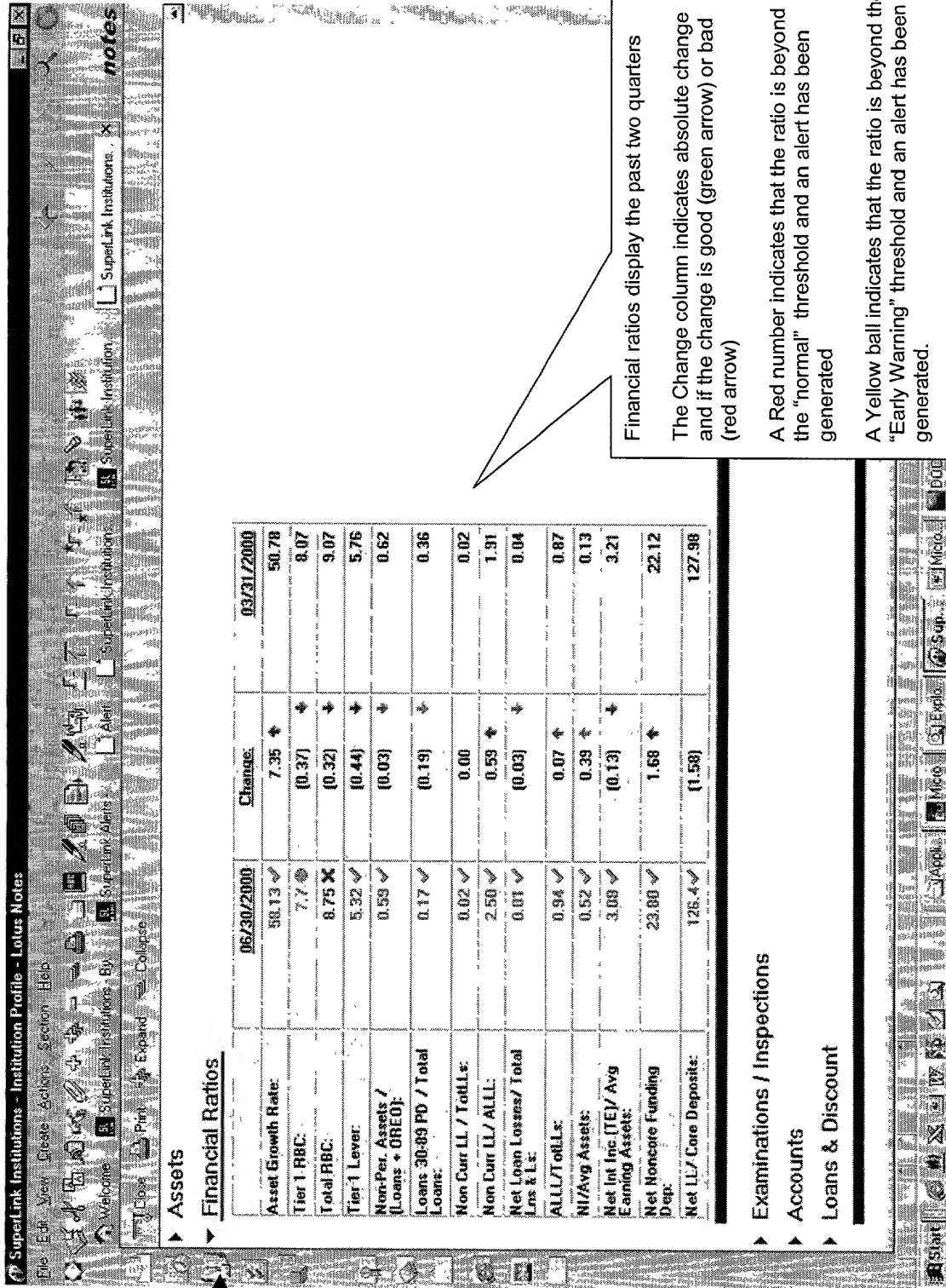














T.032260" T.12653660

4502

Entity Name:  
RSSD ID

Document links to information about the most recent exam for each exam type.

Most Recent Exams

4504

Future Exams

Document Links for future exam information is pulled from a separate scheduling system.

Supervisory Actions

4506

PCAD Rating

4508

SuperLink Institutions - Exam Summary - Lotus Notes

File Edit View Create Actions Link Help

SuperLink Home Pending Projects SuperLink Headlines SuperLink Institutions SuperLink Institutions notes

Close Print

RESTRICTED - FR

Entity Name:  
RSSD ID

Document links to information about the most recent exam for each exam type.

Most Recent Exams

- 03/27/2000 - Commercial Exam

- 08/30/1999 - Commercial Exam

- 02/09/1998 - Information Systems Exam

- 12/01/1997 - Consumer Exam

- 12/01/1997 - CRA Exam

Future Exams

- 01/10/2000 - Consumer Exam

- 11/23/2000 - CRA Exam

- 03/31/2001 - Commercial Exam

Supervisory Actions

PCAD Rating

- 12/19/1992 - Final

Start

Office

7:55 AM

4500

FIG. 45

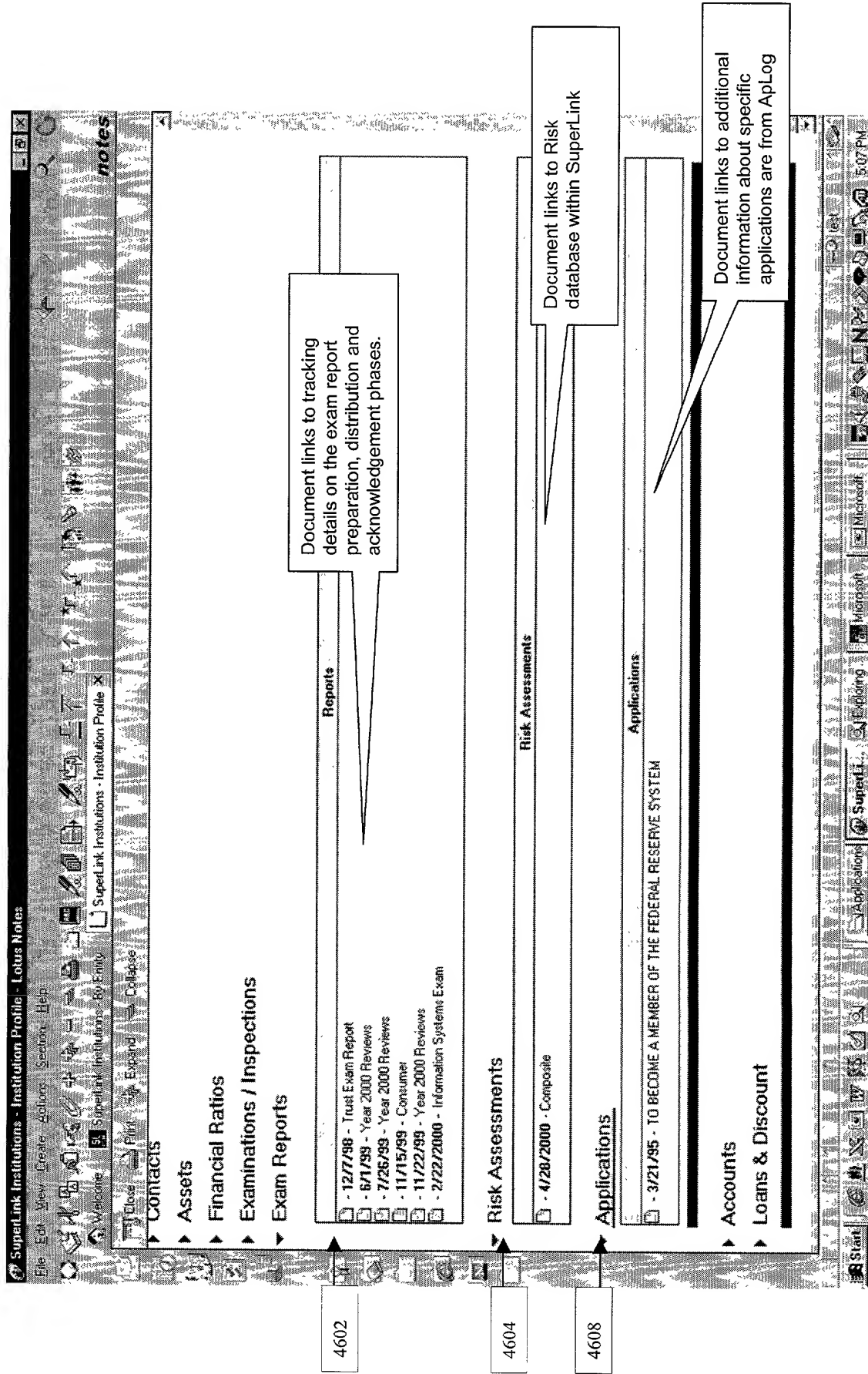
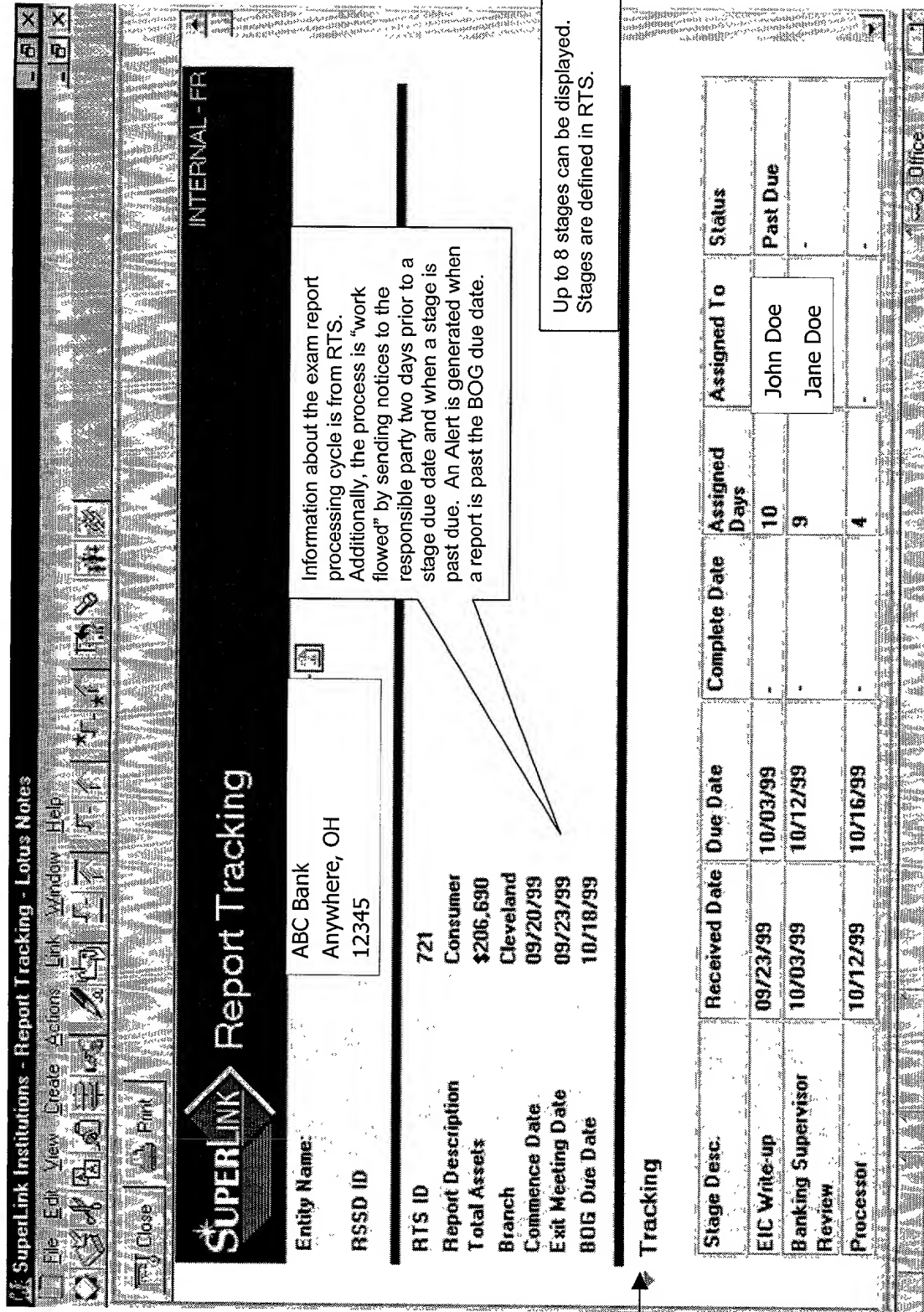


FIG. 46



4700

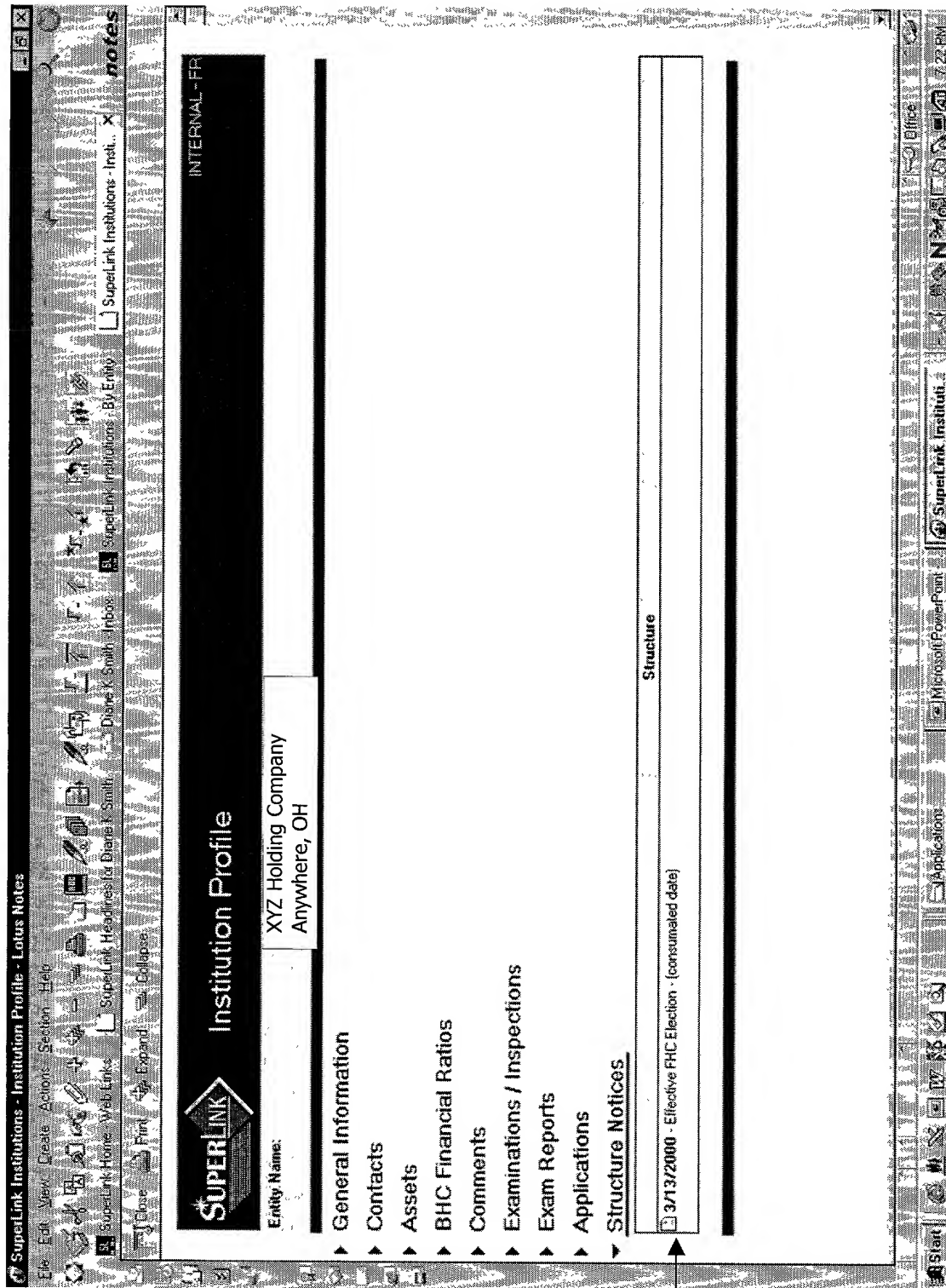
FIG. 47

4800

FIG. 48







5002

FIG. 50

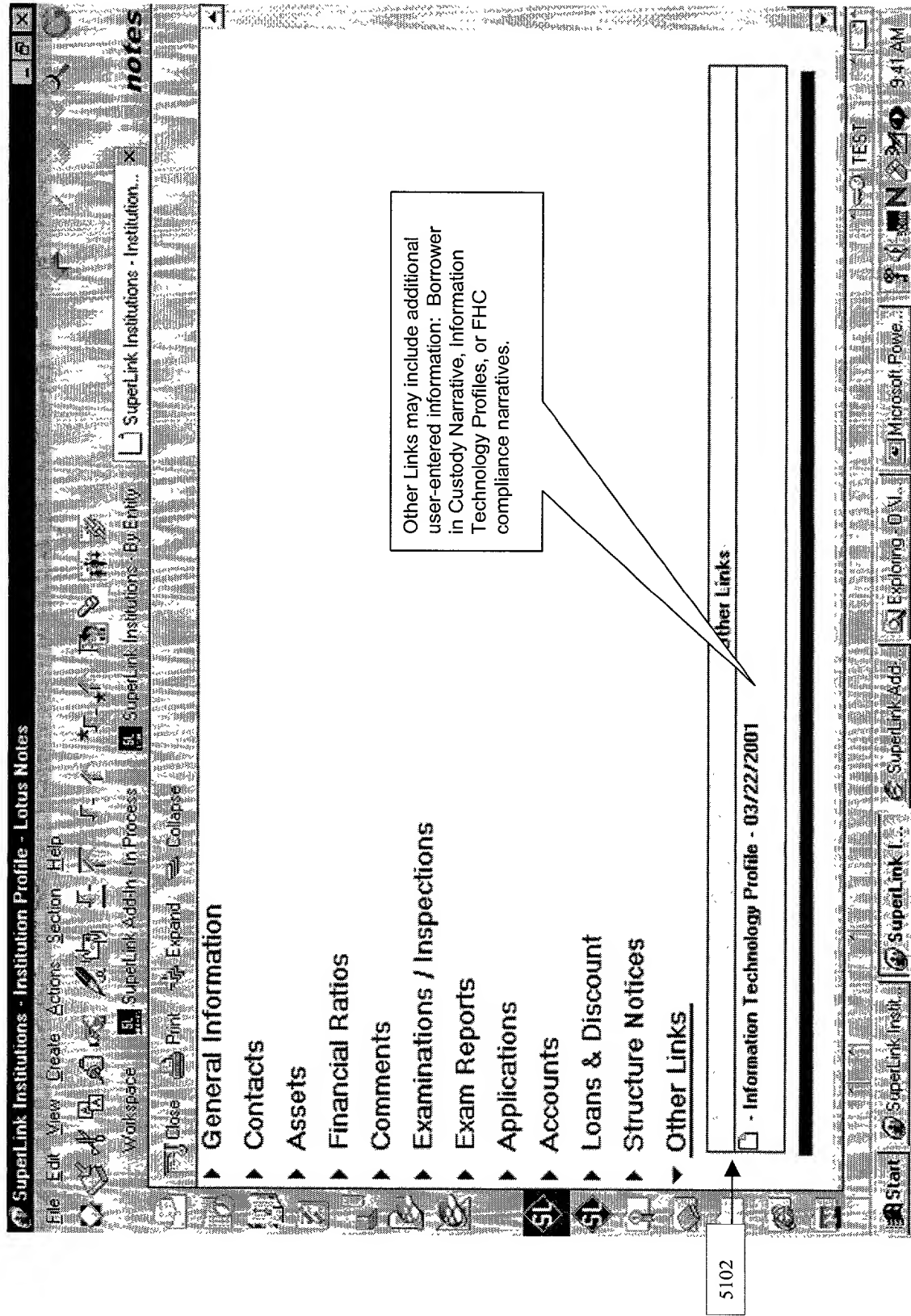


FIG. 51

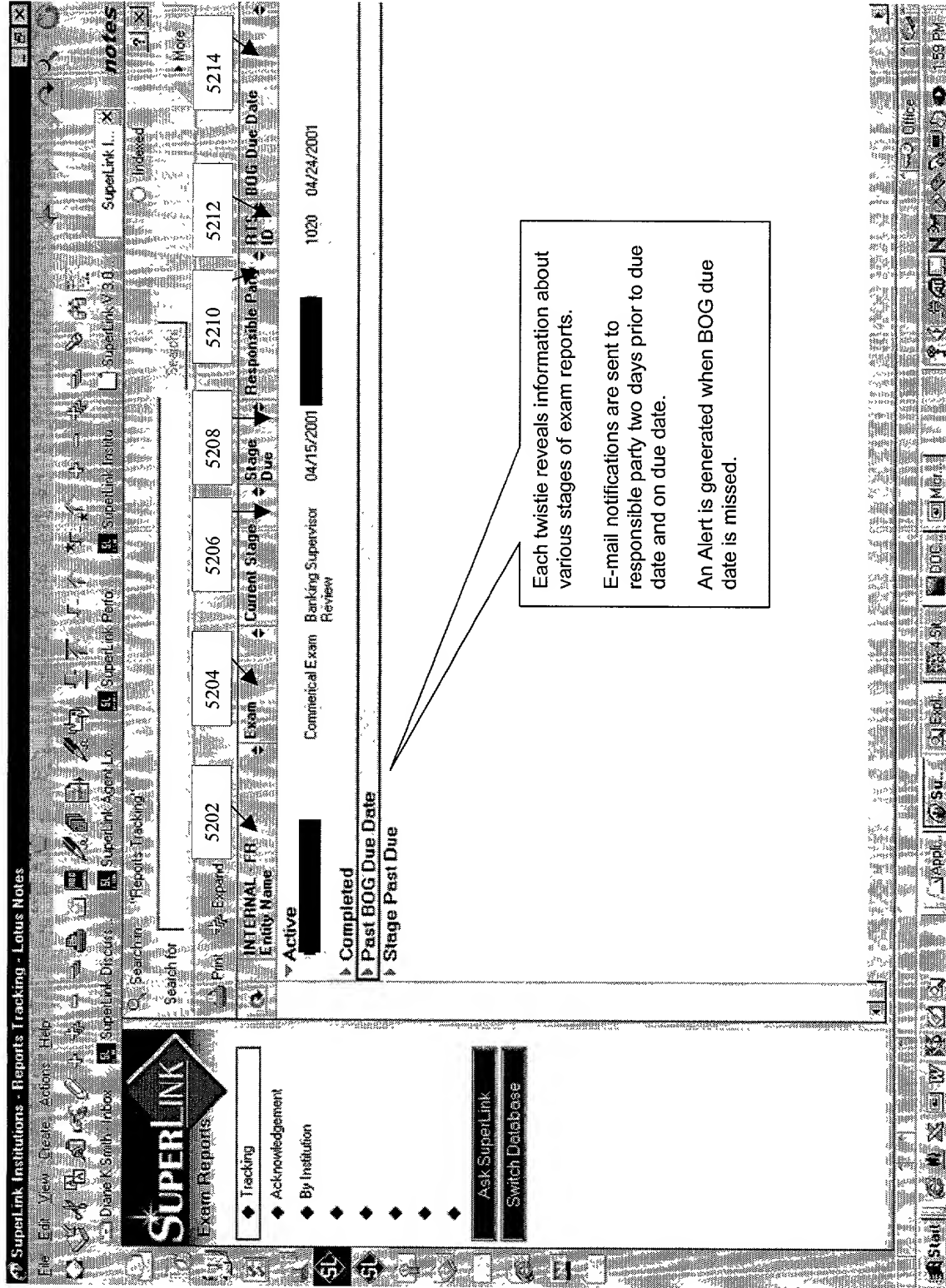
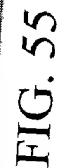


FIG. 52











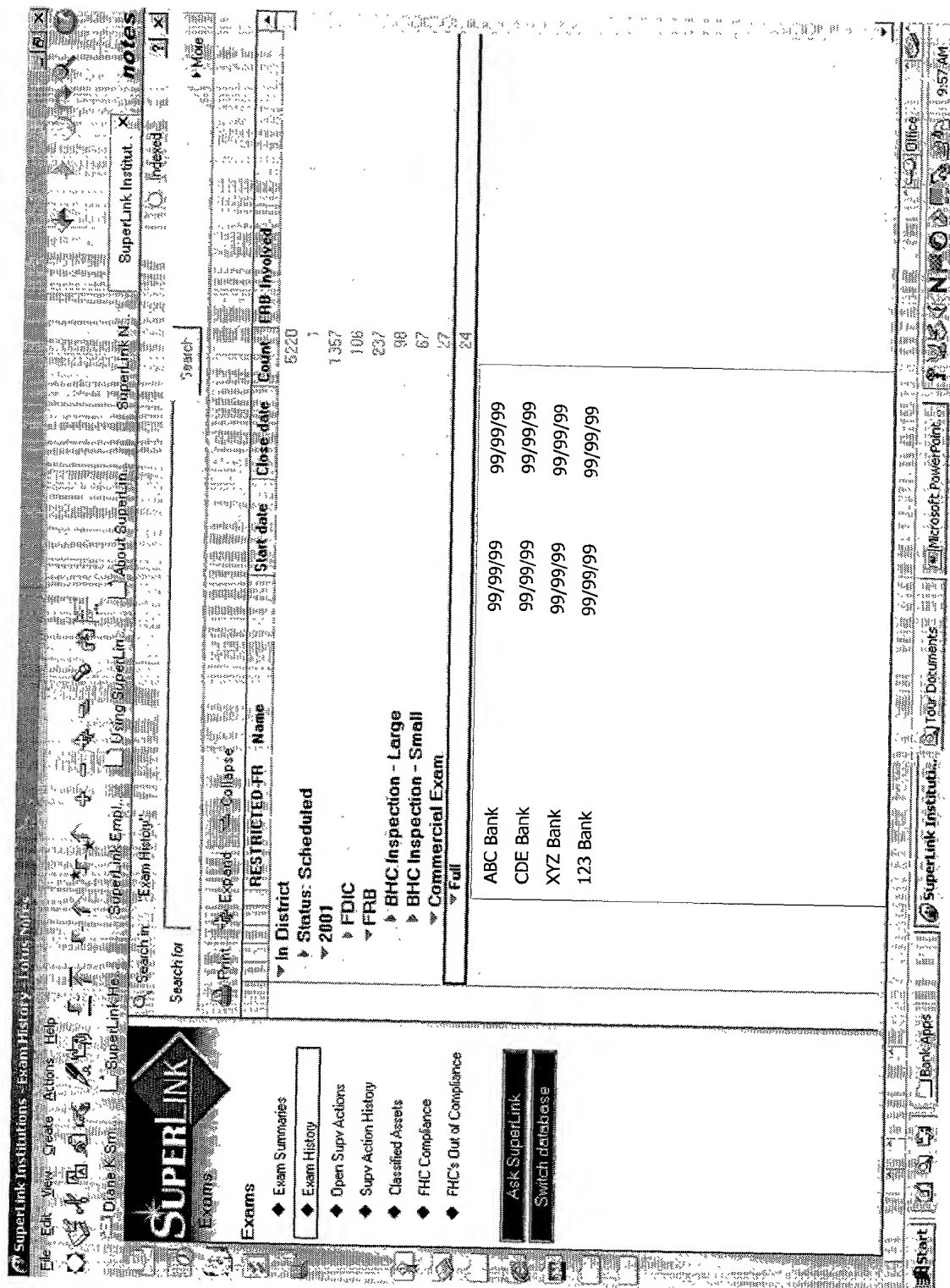
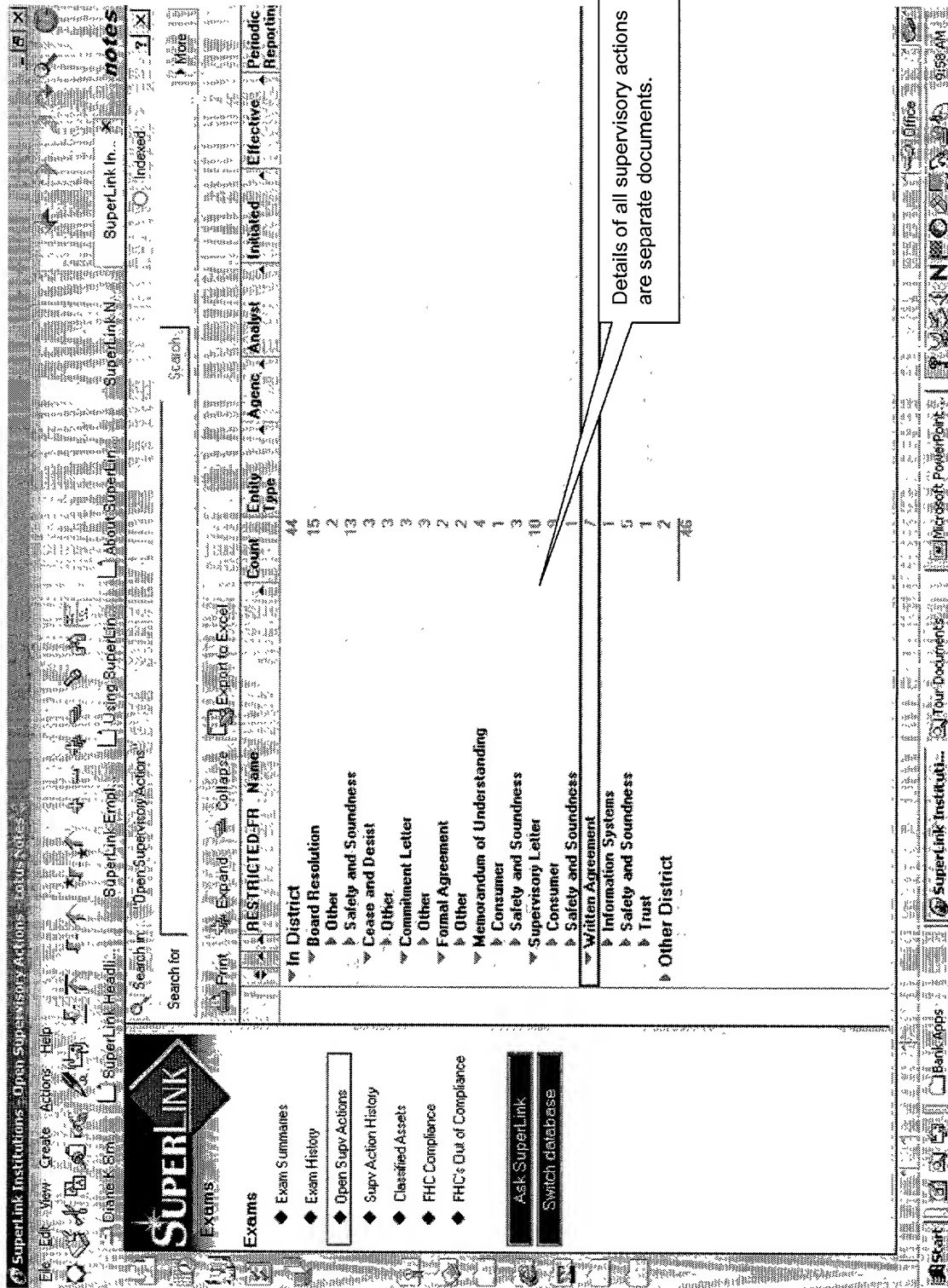


FIG. 57

FIG. 58



[illegible]

FIG. 59b





Scheduled Date	06/30/2000
Start Date	06/30/2000
Financial As Of Date	06/30/2000
Asset Quality Review Date	06/30/2000
Close Date	06/30/2000
Exit Meeting Date	06/30/2000
Status	Final

Rating Type	BOPEC
Rating	00000/ON
Composite Rating	0
Report Disp Date	08/14/2000
Report Disp Code	For Internal Use Only

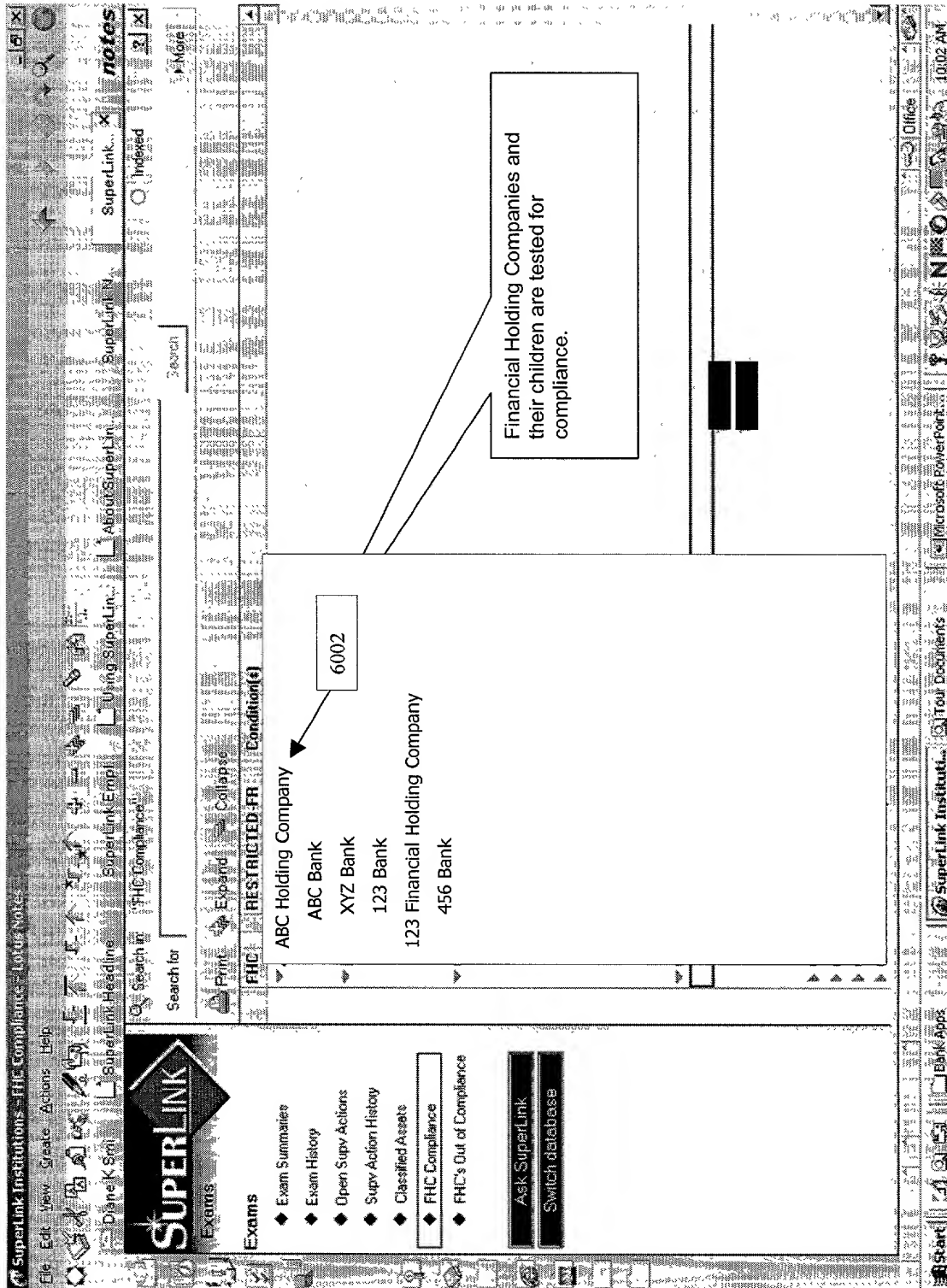
### Classified Assets

Total Substandard Assets	\$233,398
Total Value Impaired Assets	\$0
Total Doubtful Assets	\$20,594
Total Loss Assets	\$14,068
<b>Total Classified Assets</b>	<b>\$268,060</b>

Special Mention Assets	\$131,394
Tier 1 Risk-Based Capital Allowances for Loans and Lease losses	\$2,203,264
	\$186,194
Total Classifications Ratio	11.22
Weighted Classifications Ratio	2.97

FIG. 59d



**SuperLink Institutions - FHC's Out of Compliance - FHC's Notes**

File Edit View Create Actions Help

Print Daniel K Sim SuperLink Headings SuperLink Email Using SuperLink About SuperLink SuperLink L... notes SuperLink L... Indexed 2 x

Search for

Print Expand Collapse

FHC RESTRICTED FR Compliance Condition(s)

IRS SD ID Top Holder HSSD

Early Warning

**Exams**

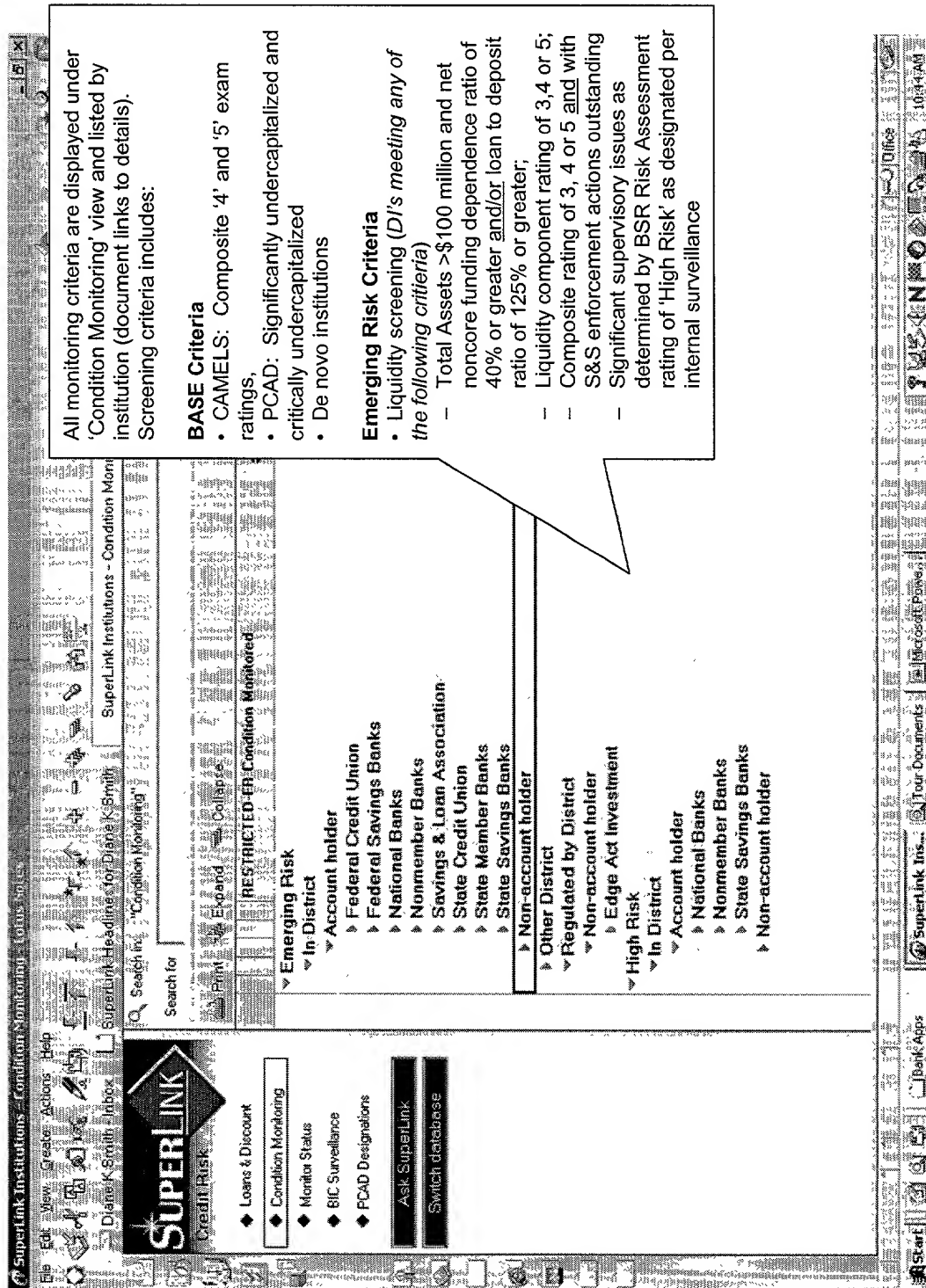
- ◆ Exam Summaries
- ◆ Exam History
- ◆ Open Supv Actions
- ◆ Supv Action History
- ◆ Classified Assets
- ◆ FHC Compliance
- ◆ FHC's Out of Compliance

Out of compliance FHCs are listed with Early Warning and actual out of compliance thresholds.

Ask SuperLink

Switch database

FIG. 60b



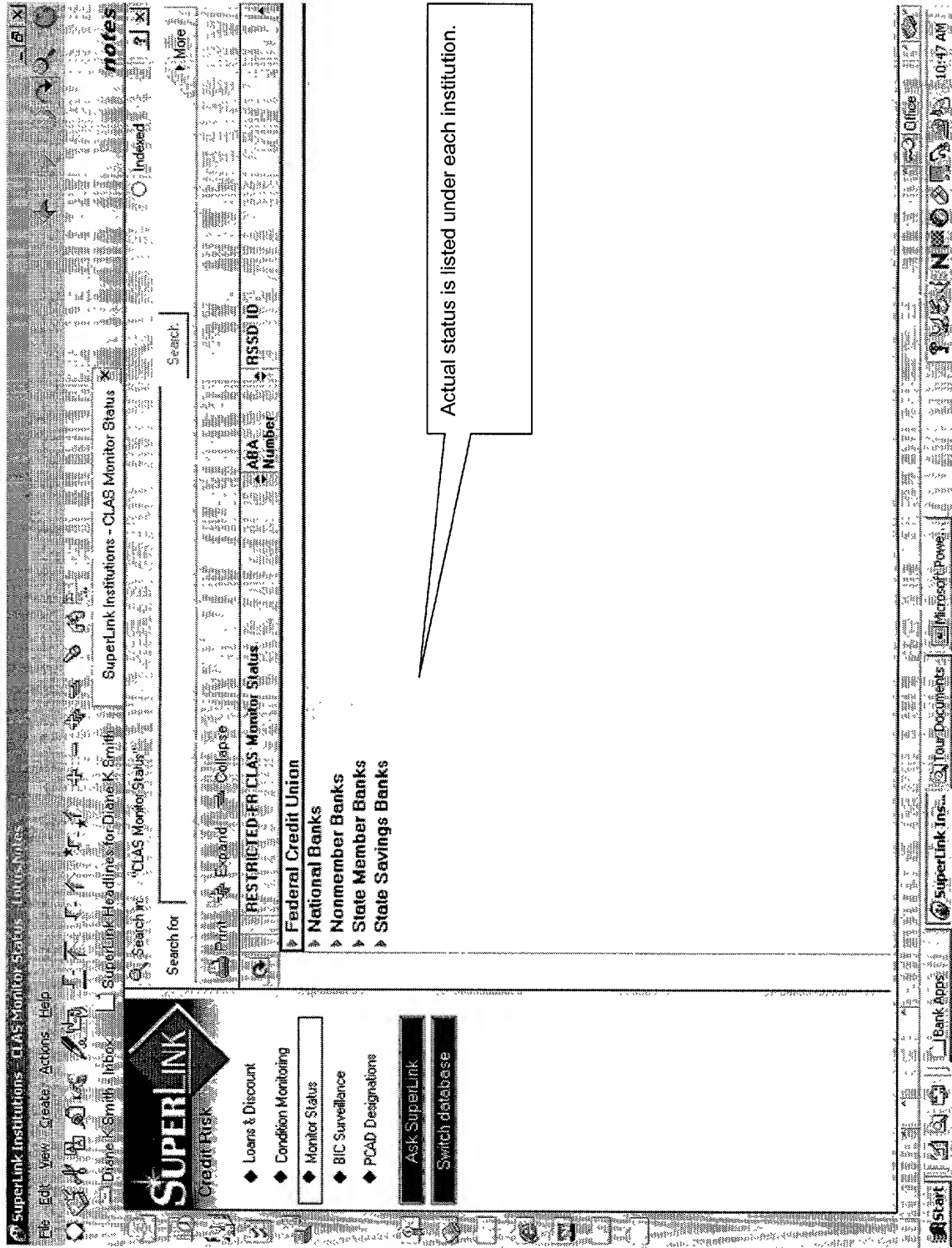
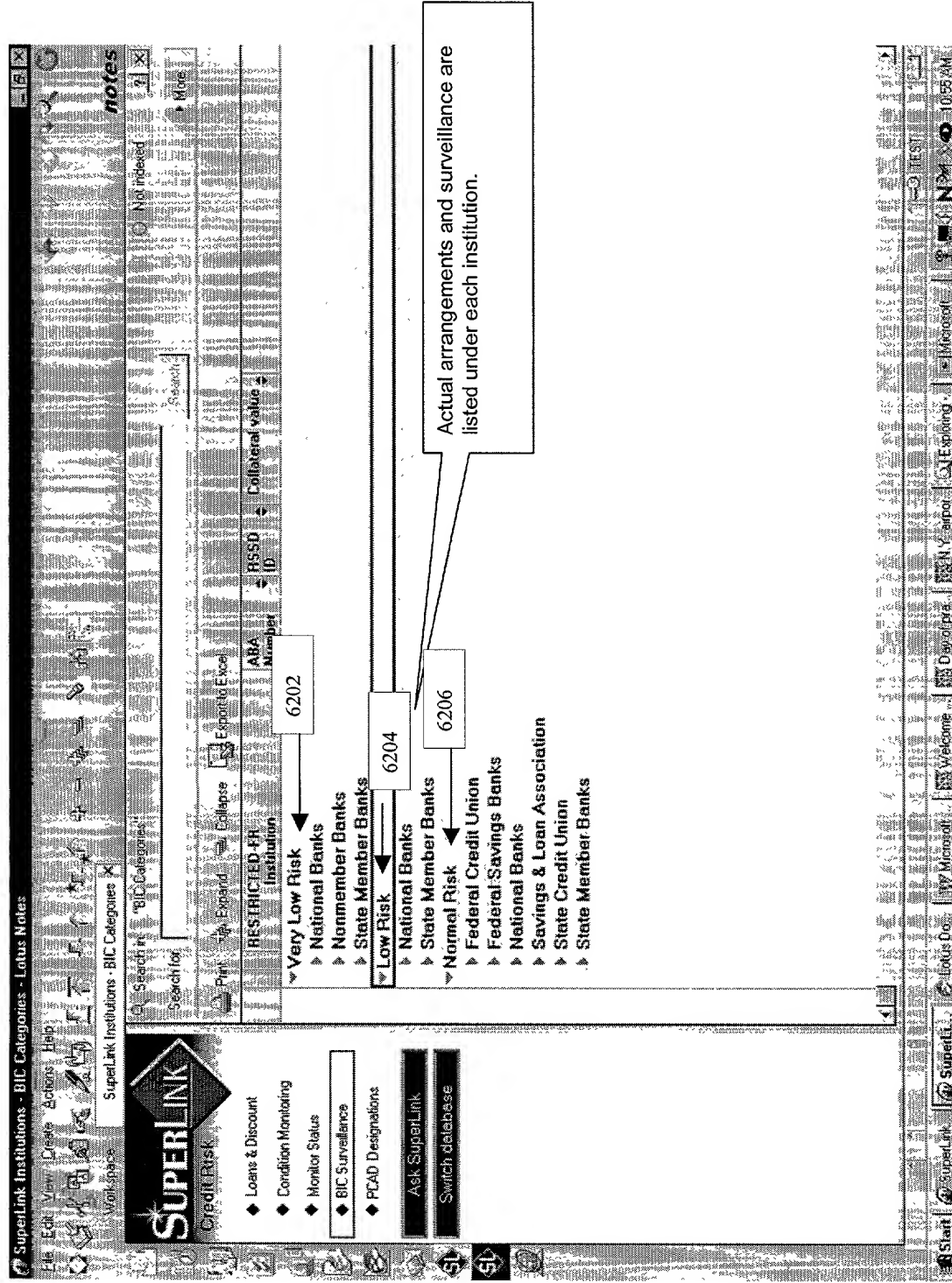


FIG. 61b





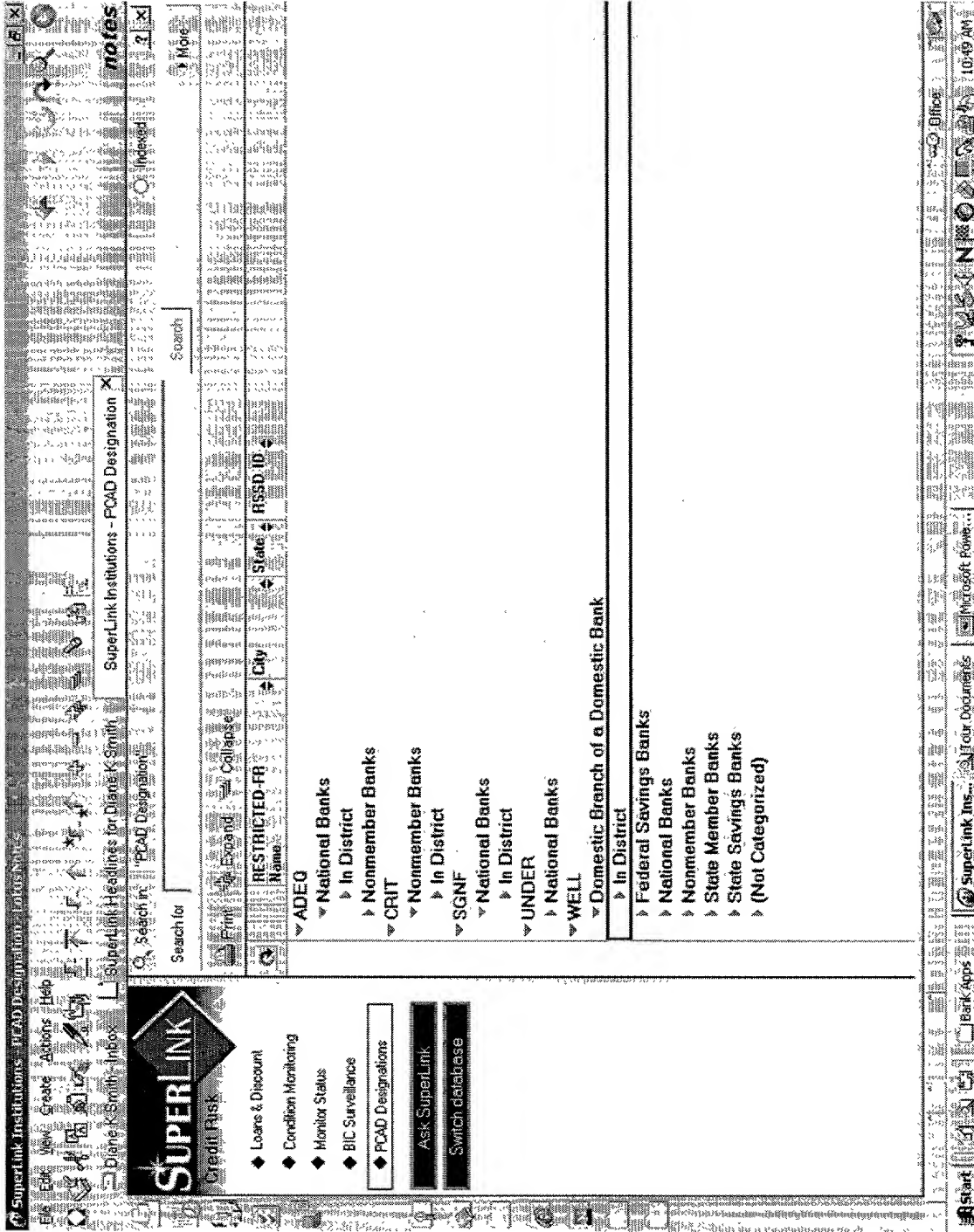


FIG. 62b

SuperLink Employees - By Name - Lotus Notes

File Edit View Create Actions Window Help

Print Expand Collapse

Search

Add Condition

8

SuperLink

Employees

◆ By Name

◆ By Department

◆ Administration

◆ Education

◆ Foreign Language

◆ Home Address

◆ Phone List

◆ Professional Info

◆ Reporting

◆ Service Anniversary

◆ Skills

◆ Specialty

◆ InActive

Name

Doe, Jane

Doe, John

Examiner, Joe

Work Phone

999-999-9999

999-999-9999

999-999-9999

Pager

999-999-9999

999-999-9999

999-999-9999

Title

Director

Examiner

Administrative Assist

Project Leader

Assistant Examiner I

Senior Examiner

Associate Examiner

Examiner

Tactician/CRA Spec

Secretary III

Process Coordinator

Examiner

Examiner

Sr Examiner

Assistant Examiner I

Intern

Associate Examiner

Examiner

Associate Examiner

Associate Examiner

Director

Office

Cleveland

Cleveland

Cleveland

Cleveland

Cleveland

Cleveland

Pittsburgh

Cincinnati

Cleveland

Cleveland

Cleveland

Cleveland

Cleveland

Cleveland

Cleveland

Cleveland

Cleveland

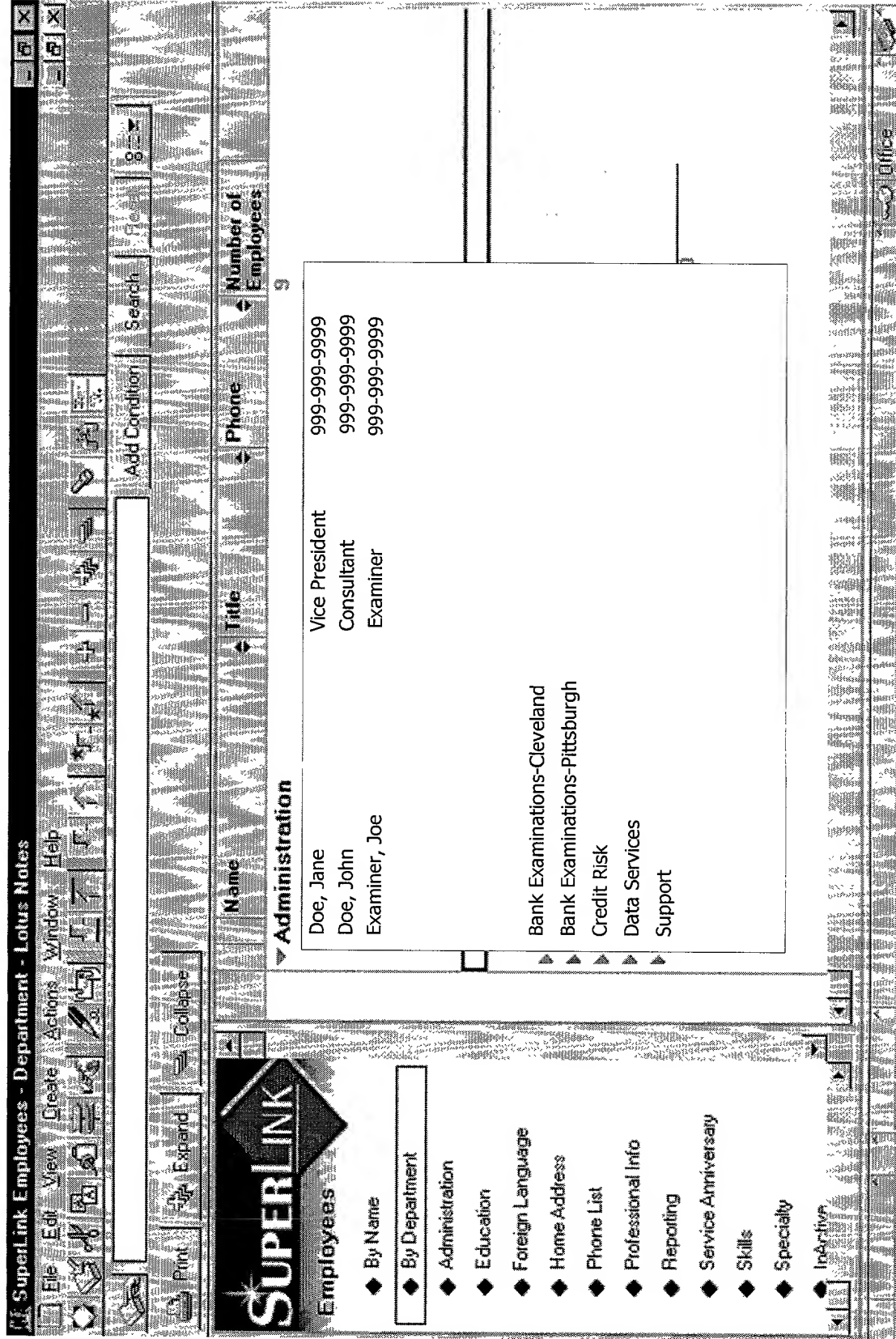
Cleveland

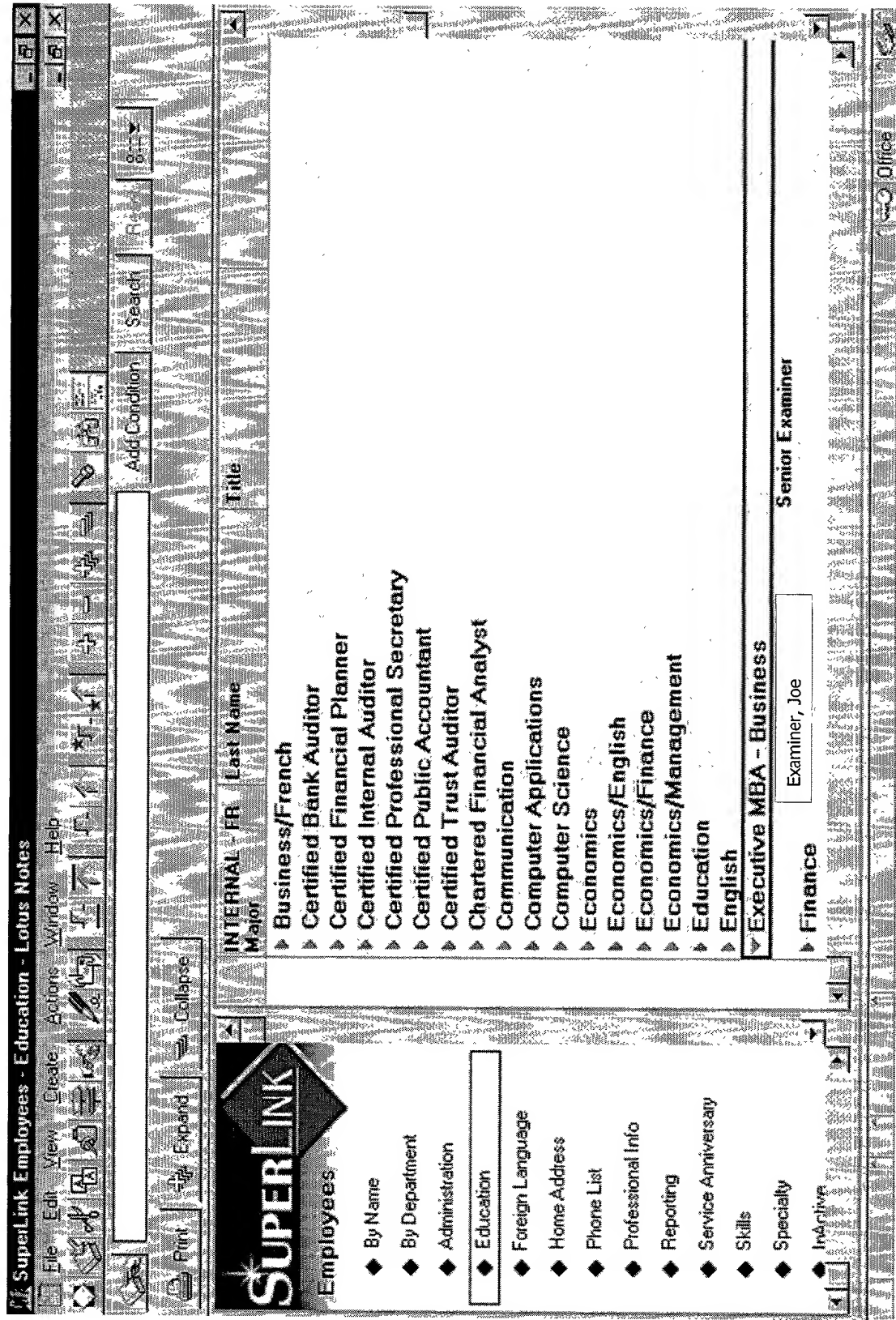
Cleveland

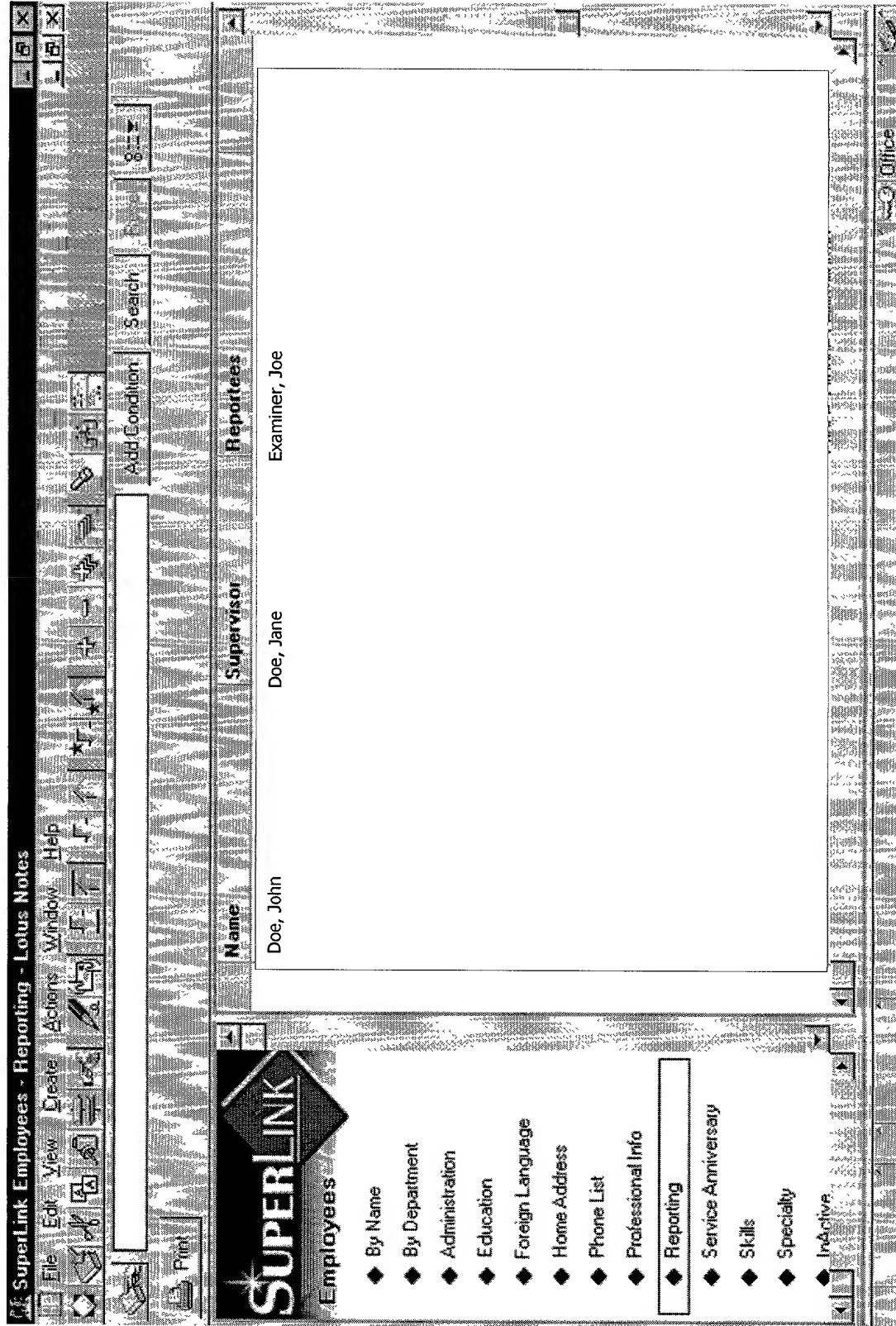
Cleveland

6300

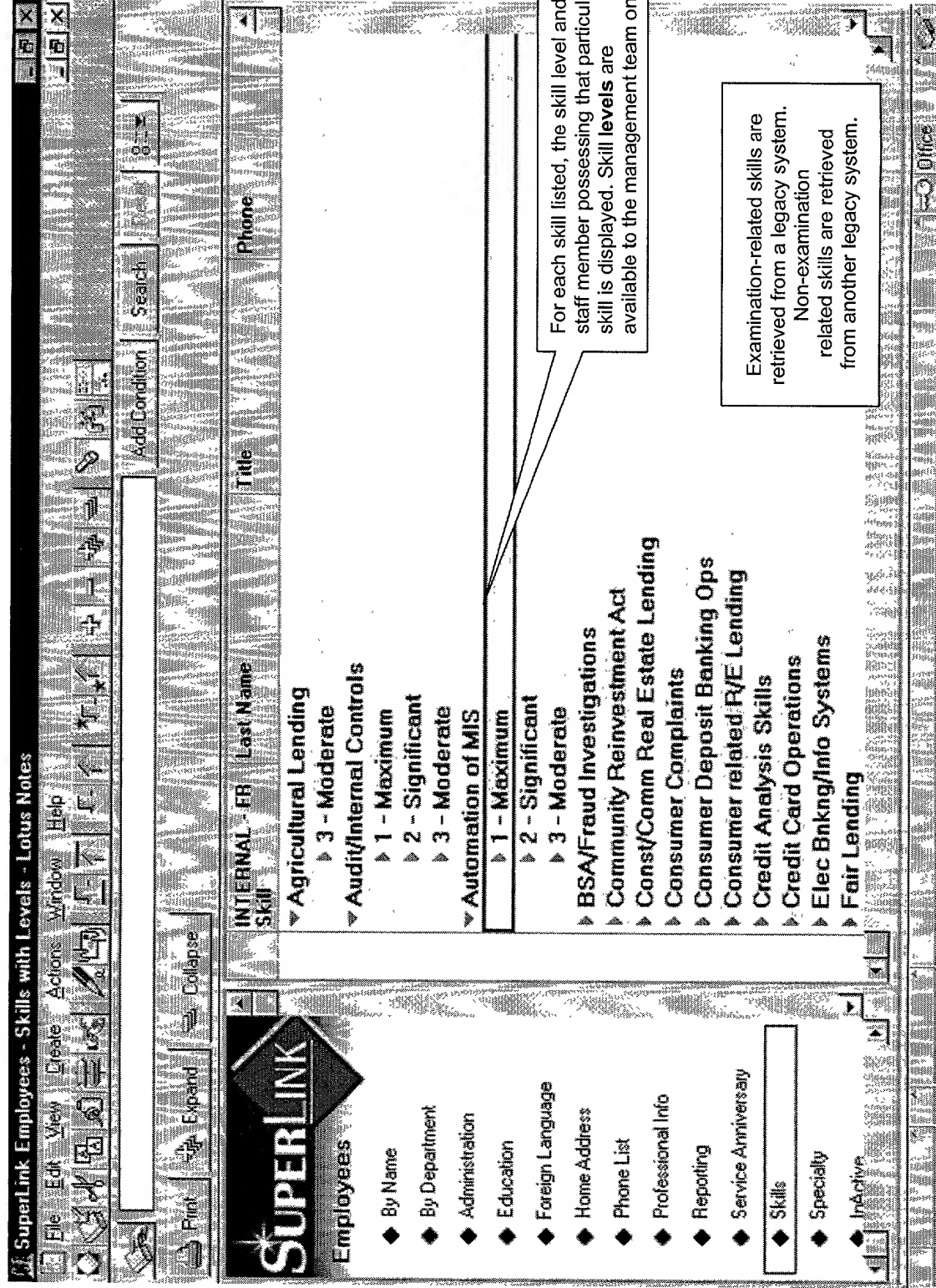
FIG. 63

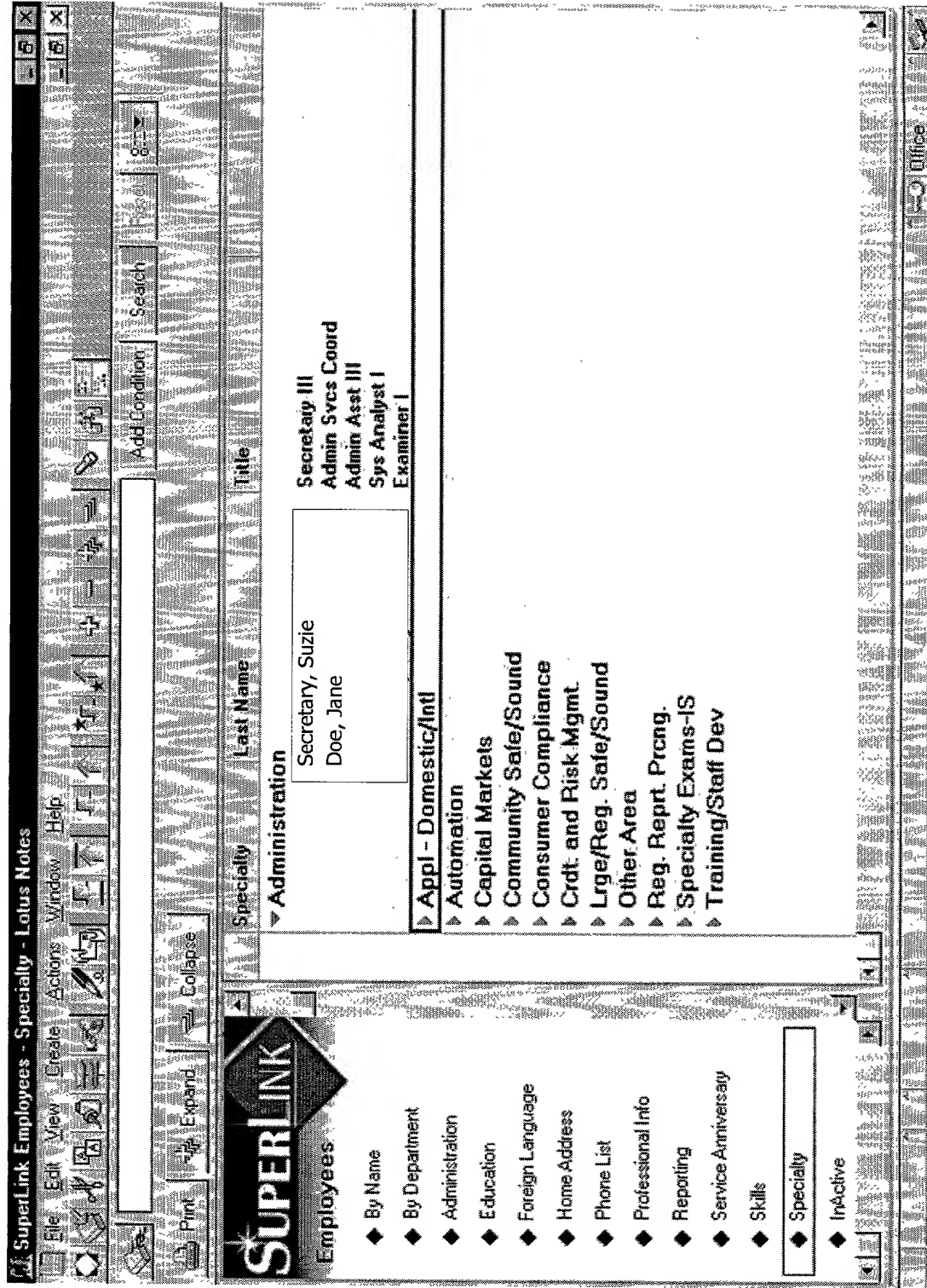














## Address

**Address**

**Main Street  
Anywhere, OH  
999-999-999**

Home Phone

## Professional Skills

Examination Skills		Skill Level	
<b>Audit/Internal Controls</b>	1 - Maximum	1 - Maximum	1 - Maximum
<b>Credit Analysis Skills</b>	2 - Significant	2 - Significant	2 - Significant
<b>Risk Management</b>	3 - Moderate	3 - Moderate	3 - Moderate
<b>Const/Comm Real Estate Lending</b>	3 - Moderate	3 - Moderate	3 - Moderate
<b>Mortgage Banking</b>	3 - Moderate	3 - Moderate	3 - Moderate

### Specialty Credential

Proficiency Test Date	Proficiency Test2 Date

## Education

## Biography

## Administration

**Lige/Reg. Safe/Sound**  
**Standard Credential - Examiner**  
**Standard Credential - Examiner**

FIG. 70

FIG. 71

7200



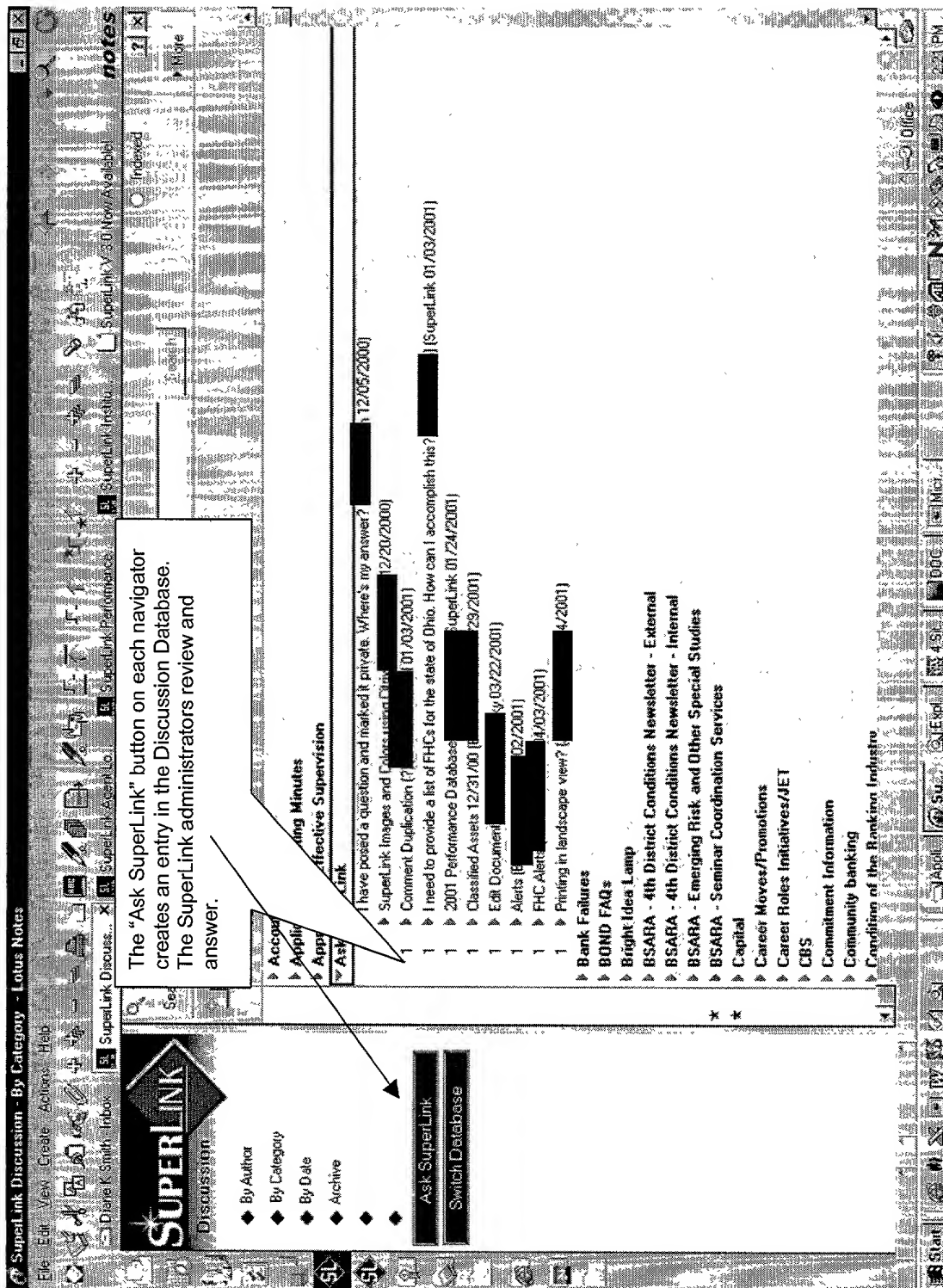
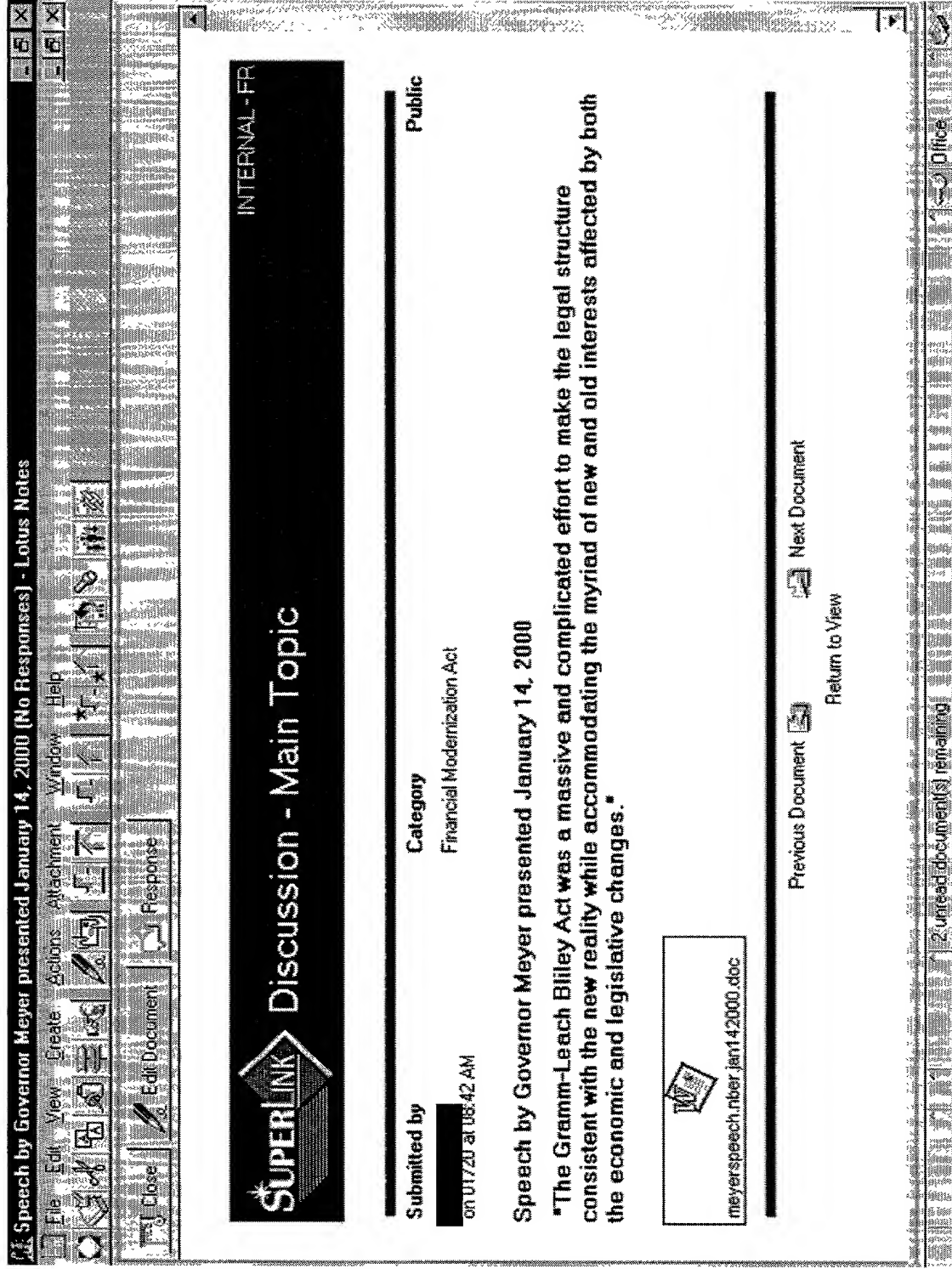


FIG. 73



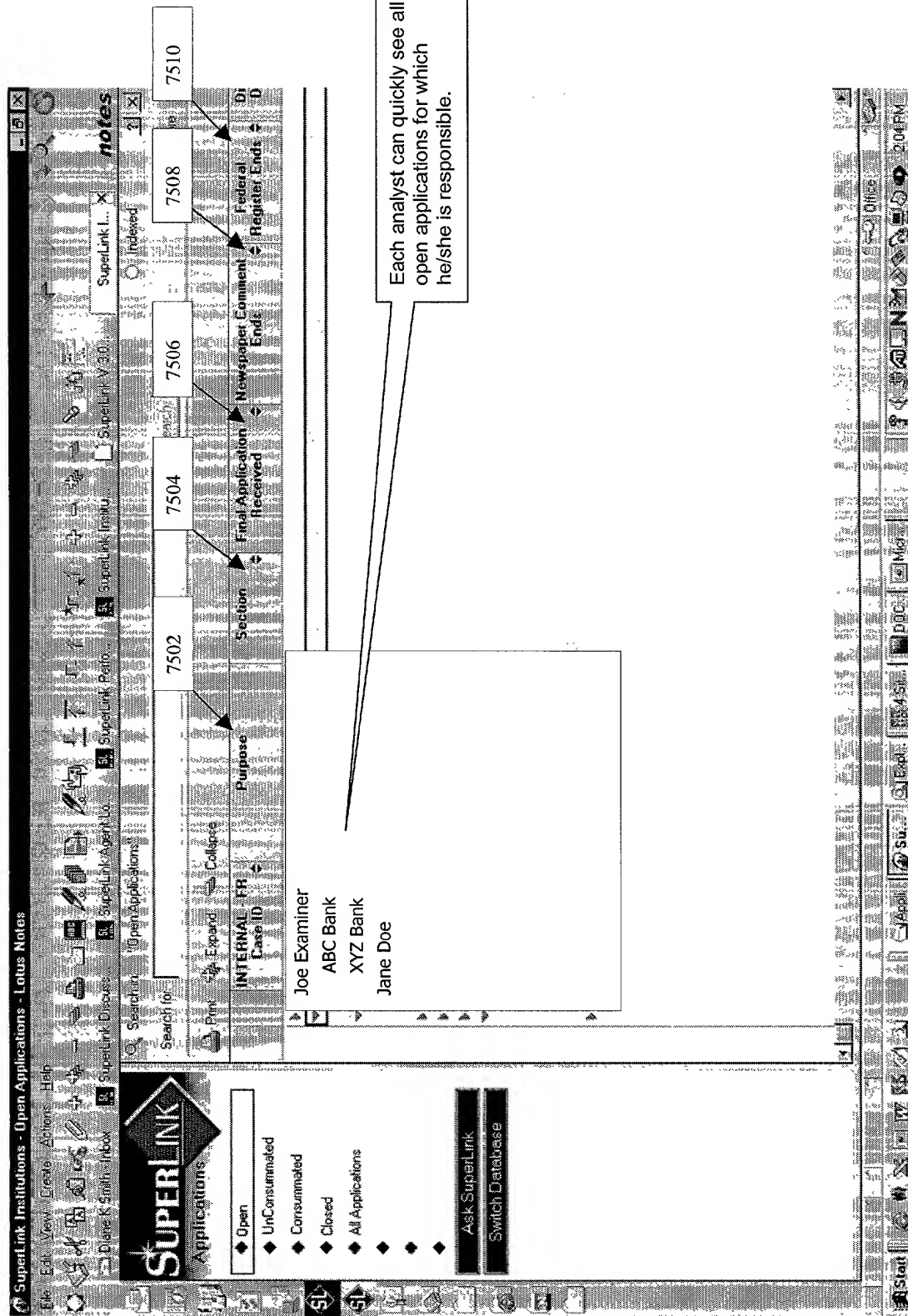
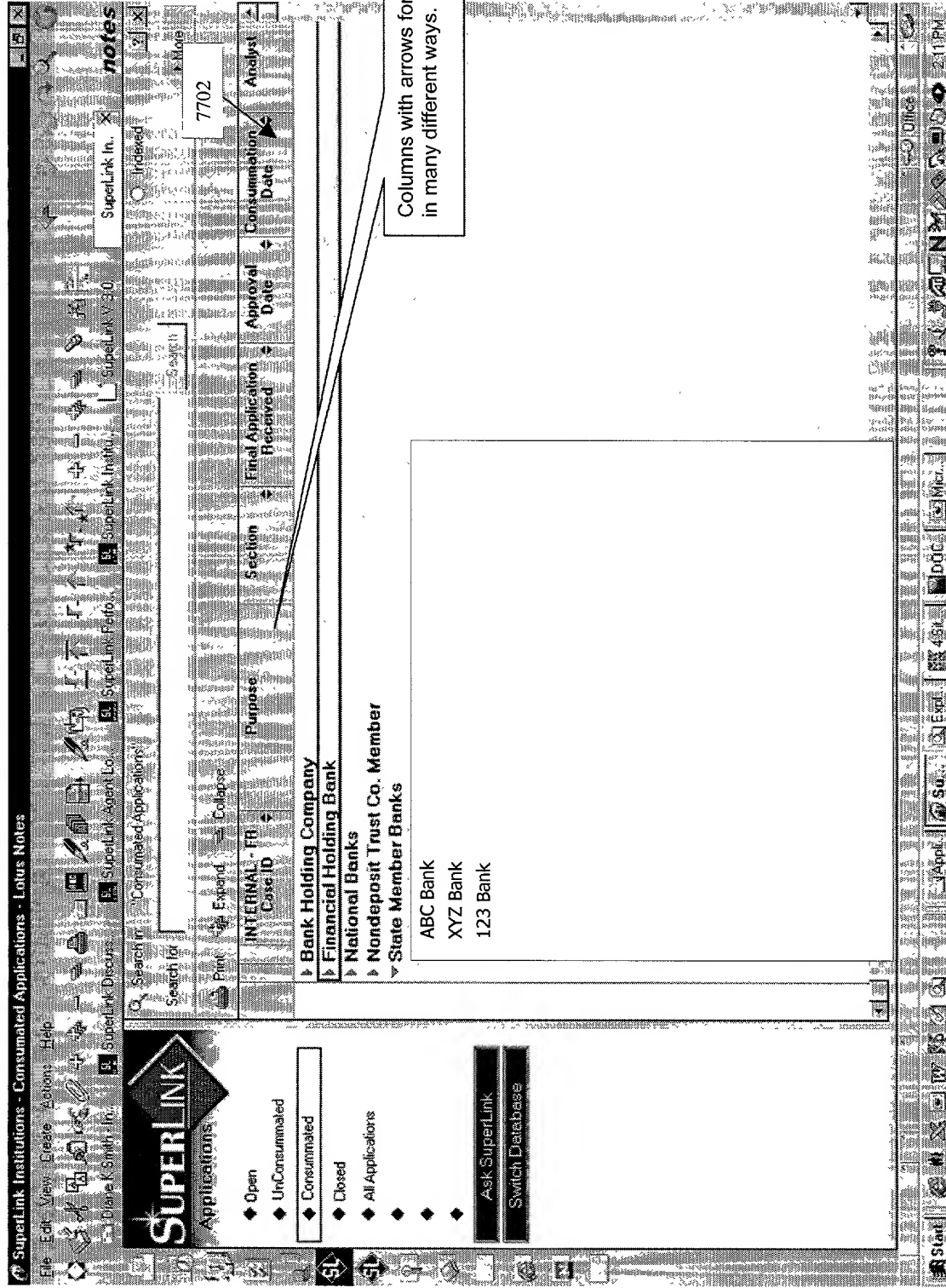


FIG. 75





SuperLink Institutions - Consummated Applications - Lotus Notes

File Edit View Database Actions Help

SuperLink

Applications

- Open
- UnConsummated
- Consummated
- Closed
- All Applications

Ask SuperLink

Switch Database

SuperLink In. x notes

SuperLink Institutions x SuperLink Perf. x SuperLink Agent Lo. x SuperLink Discuss. x

Search for

Pin Expand Collapsed

INTERNAL FR Case ID Purpose Section Final Application Received Approval Date Consummation Date Analyst

Bank Holding Company

Financial Holding Bank

ABC Holding Company

D10758-1

FHC election

NON-BANK ACQUISITION - LISTED ACTIVITY - GOING CONCERN

FINANCIAL HOLDING COMPANY AS OF 3/13/2000

05/28/96

07/12/96

DEF Holding Company

XYZ Holding Company

123 Holding Company

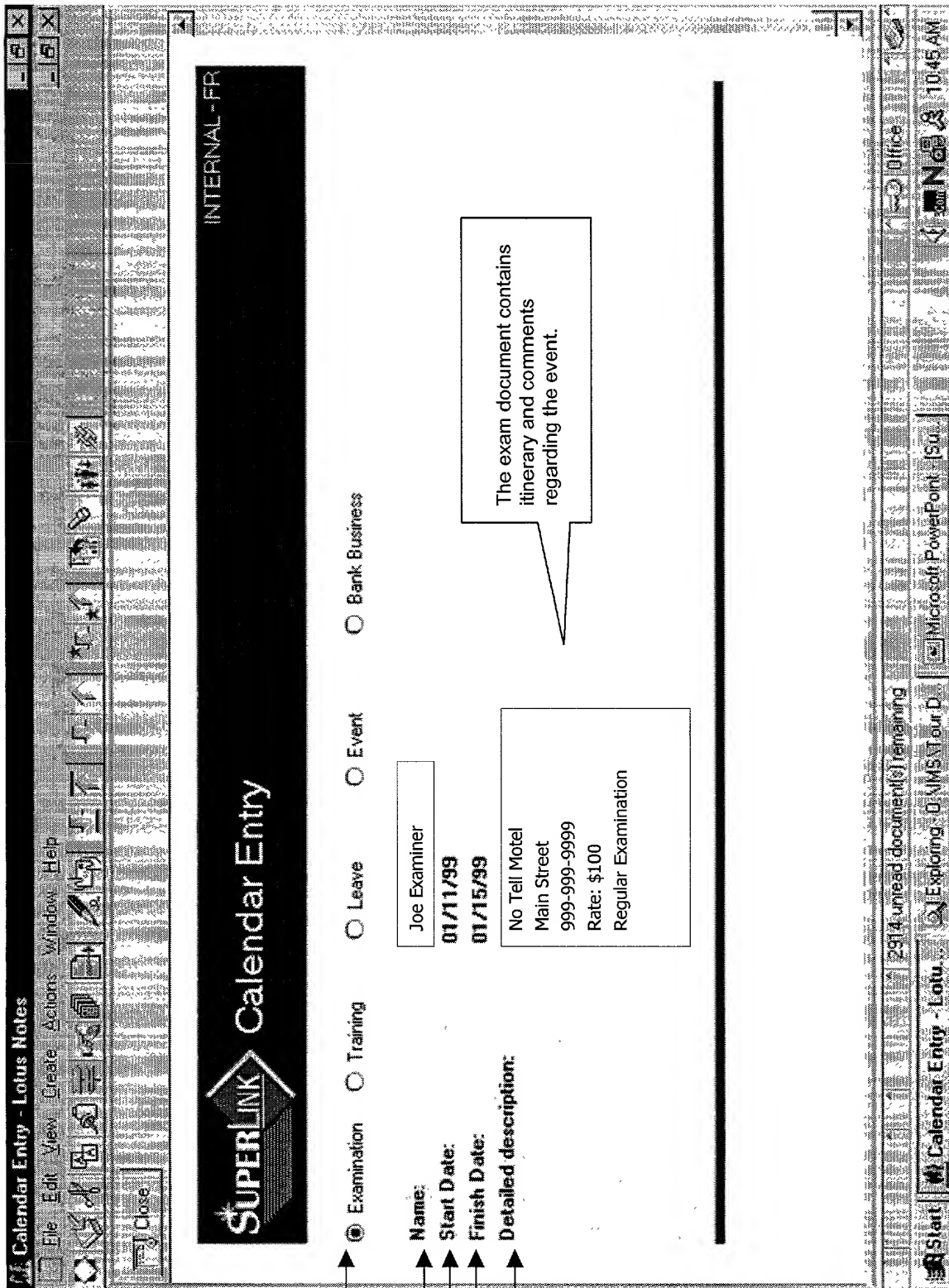
Clicking on the document link provides additional detail about the application. (See next slide)

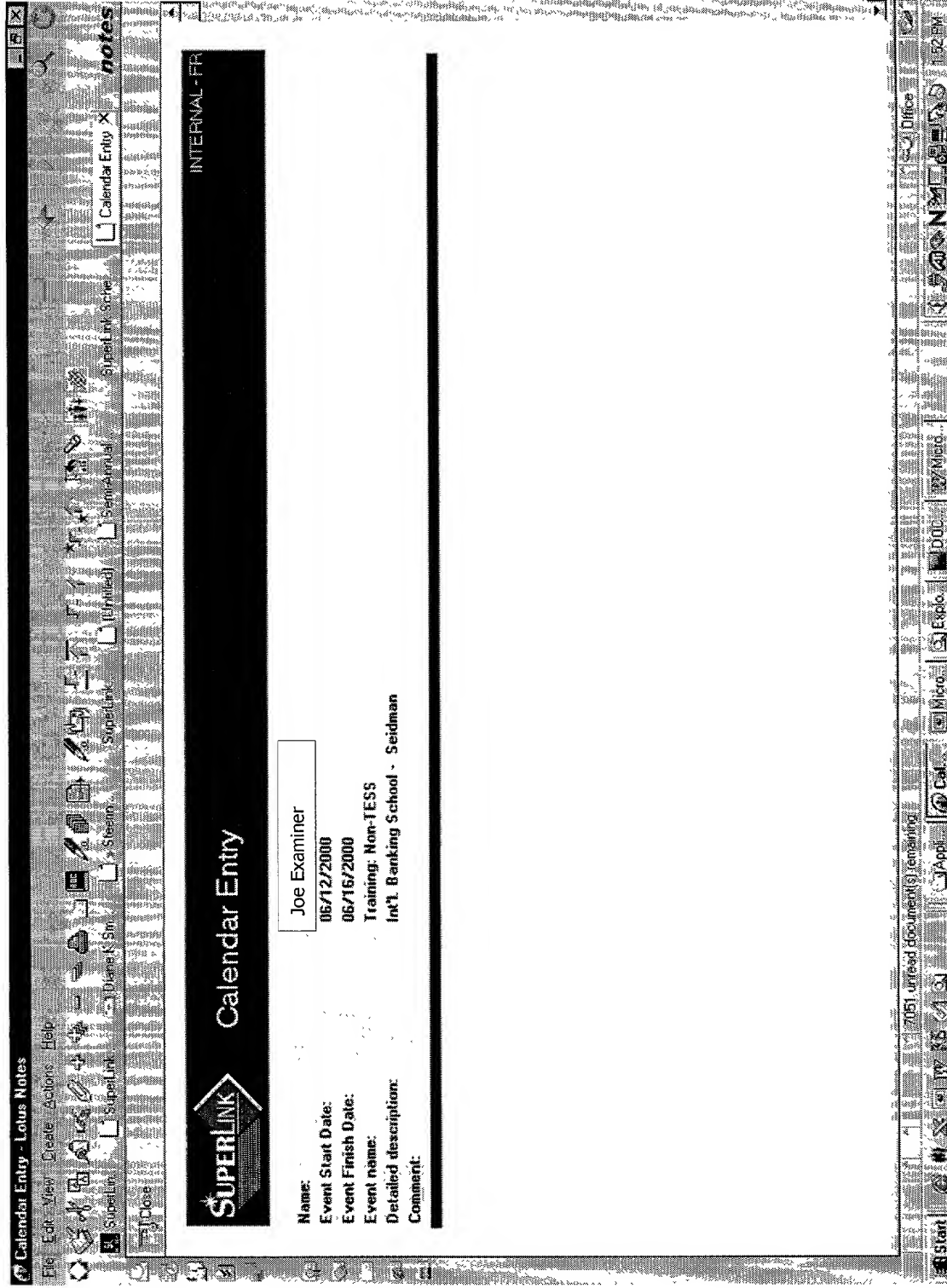
7802



7900

800





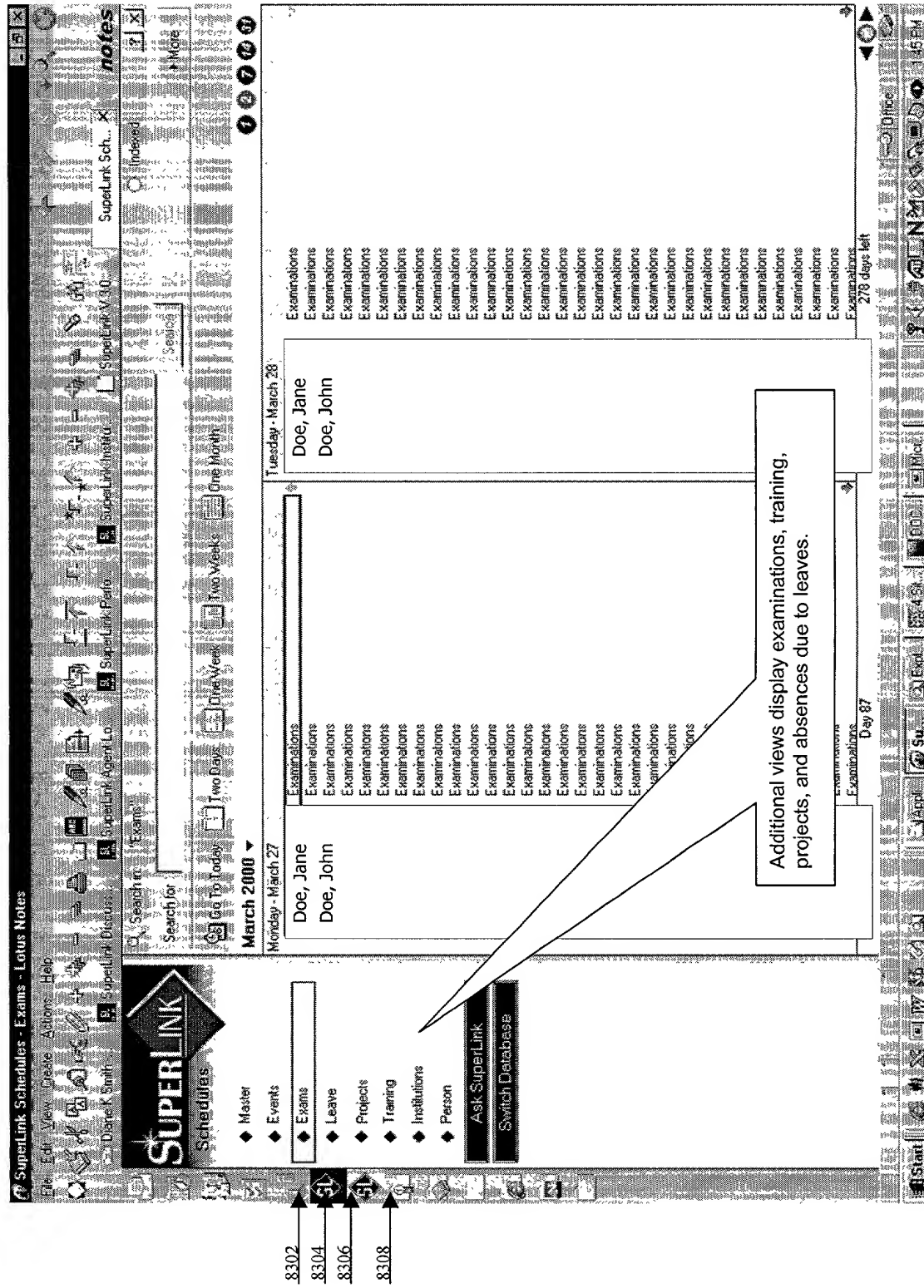
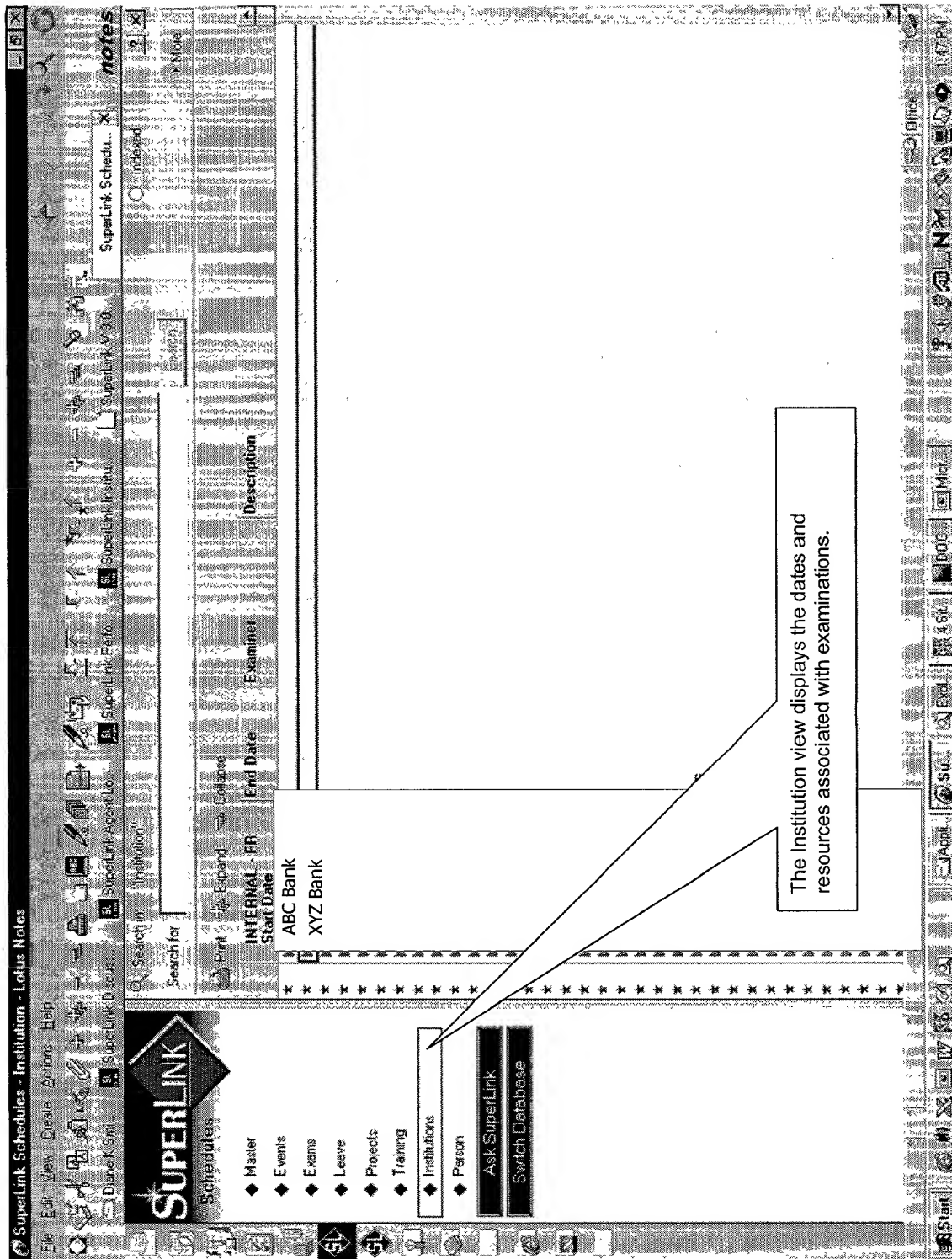


FIG. 83







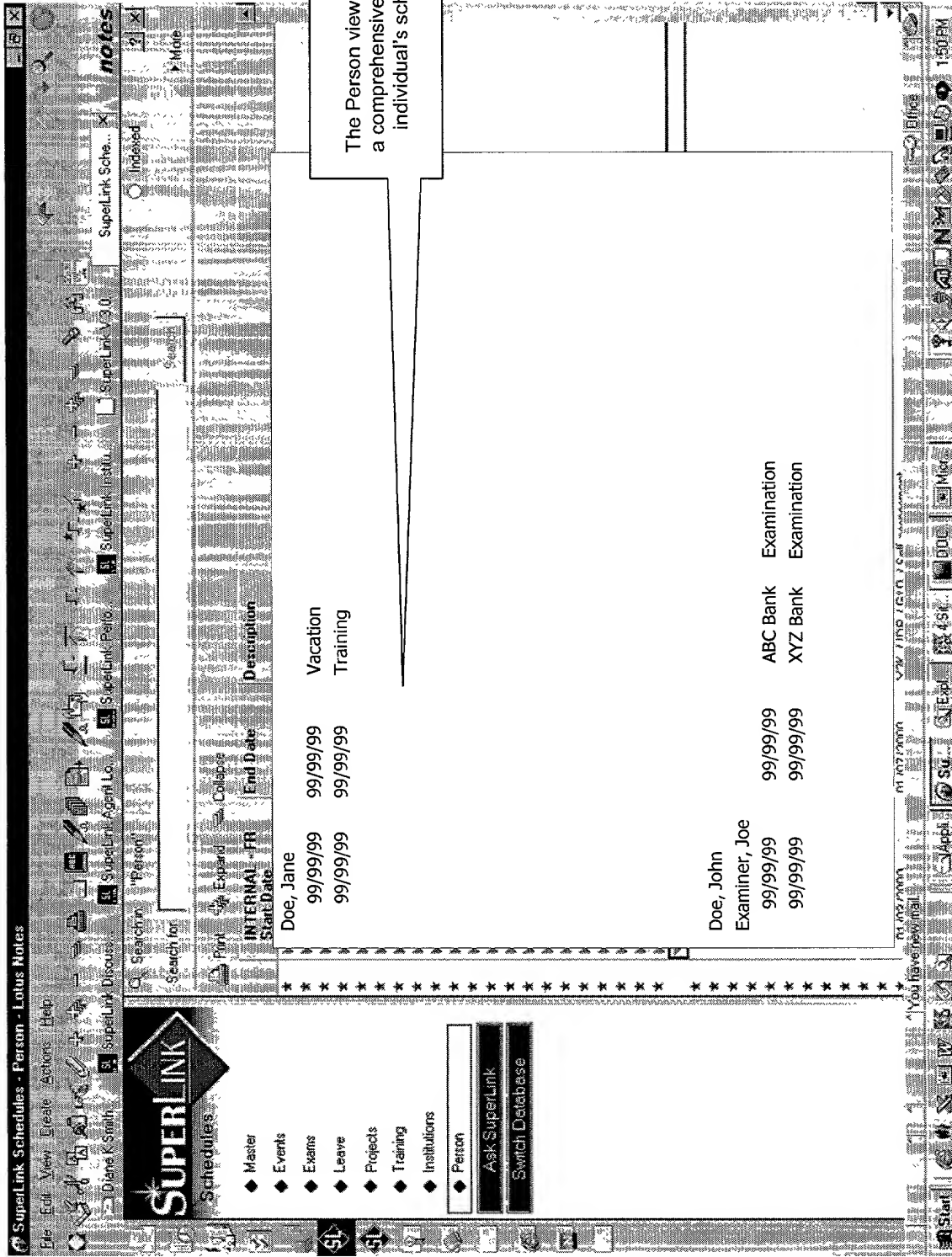


FIG. 86

